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AGENDA ITEM

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GROWTH FUND AND FALLING ROLLS FUND ALLOCATIONS 2017-18

Report of the Operations Director, Education

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1. Purpose

- 1.1 To consult the Forum on the Growth Fund and Falling Rolls Fund allocations for 2017-18.

2. Summary

- 2.1 This paper details the 2017-18 Financial Year Growth Fund and Falling Rolls Fund allocations.

3. Recommendations

- 3.1 The Forum is asked to note and support the allocations.

4. Background

- 4.1 The criteria for determining allocations from the Growth Fund and the Falling Rolls Fund have been previously agreed by the Forum. DfE guidance requires that the Forum is consulted on the allocations themselves. However, as the allocations have been calculated according to the criteria, in practice they are not open to change.

5. Allocations

- 5.1 Annexes A and B detail the 2017-18 Growth Fund allocations and clawback of funding to schools in respect of additional places and infant class size. Also shown are the academy Growth Fund allocations for the Summer Term 2017, which are funded by the DfE.
- 5.2 Annex C details the 2017-18 Falling Rolls Fund allocations.

5.3 Annex D shows the criteria for determining allocations from the Growth Fund and Falling Rolls Fund.

6. Conclusion

6.1 The Forum is asked to note the information in the report.

Annex A

Allocations in respect of Additional Places

Sch. No.	School Name	Size of Expansion (pupil numbers)			
		Primary age	11-13	14-15	Funding £
Primary Maintained					
14	Lordship Farm Primary	9	0	0	15,651
35	Samuel Lucas JMI	30	0	0	50,917
38	Highover JMI	30	0	0	52,217
47	William Ransom Primary (The)	24	0	0	39,070
71	Weston Primary	3	0	0	5,551
83	Tannery Drift	24	0	0	43,755
86	Roman Way First	24	0	0	47,446
121	Almond Hill Junior	30	0	0	54,129
123	Fairlands JMI	30	0	0	62,989
129	Roebuck Primary	30	0	0	56,957
155	Martins Wood Primary	30	0	0	52,833
159	Giles Junior	30	0	0	57,454
198	Walkern Primary	10	0	0	18,571
292	Forres Primary	15	0	0	29,720
307	St Catherine's (C of E) Primary	24	0	0	43,739
353	Hurst Drive Primary	15	0	0	32,122
363	Bonneygrove County Primary	10	0	0	17,890
365	Brookland Junior	30	0	0	57,182
367	Downfield JMI	15	0	0	31,754
422	Garden Fields JMI	30	0	0	52,024
440	Prae Wood Primary	30	0	0	52,320
458	Sandridge School	9	0	0	16,710
459	St Helens Primary School	20	0	0	35,587
487	High Beeches Primary	30	0	0	51,201
505	St Michael's Woolmer Green School	10	0	0	16,844
520	Holwell Primary	30	0	0	61,638
531	Creswick JMI and Nursery	30	0	0	57,023
582	St Philip Howard Catholic Primary School	24	0	0	43,254

621	Parkside Community Primary	30	0	0	65,052
695	Yorke Mead Primary	30	0	0	55,317
699	Shepherd Primary	30	0	0	56,279
720	Cherry Tree Primary	30	0	0	56,320
724	St.Anthony's Catholic Primary School	30	0	0	54,872
725	Central Primary	30	0	0	57,121
740	Leavesden JMI School	30	0	0	58,074
743	Orchard Primary (The)	30	0	0	57,629
749	Holywell JMI	30	0	0	62,826
770	Oxhey Wood Primary	9	0	0	19,184
829	Tudor JMI & Nursery	30	0	0	57,357
833	South Hill Primary	30	0	0	53,622
859	Galley Hill Primary and Nursery School	24	0	0	48,355
907	Markyate Village School & Nursery	15	0	0	25,386
54	Codicote CofE Primary School	6	0	0	10,009
59	St Ippolyts CE	24	0	0	42,469
575	Birchwood Avenue Primary	30	0	0	62,408
581	De Havilland Primary	30	0	0	64,764
Secondary Maintained					
112	Marriotts	0	0	30	103,695
203	Bishop's Stortford High	0	12	0	28,331
205	St Mary's Catholic School	0	6	0	14,507
Primary Academies					
55	Wilshere-Dacre Junior Academy	30	0	0	55,533
297	Roselands Primary	15	0	0	26,793
680	Little Reddings Primary School	9	0	0	18,656
862	Hammond Academy	30	0	0	58,274
Secondary Academies					
201	Hertfordshire & Essex High School (The)	0	20	0	46,925
442	Sandringham	0	30	0	72,122
442	Sandringham	0	30	0	72,122
481	Sir John Lawes	0	0	4	12,784
554	Onslow St Audrey's	0	30	0	82,866
672	Bushey Meads	0	30	0	73,988
685	St Clement Danes School	0	30	0	70,649
710	Watford Grammar School for Boys	0	6	0	14,246
717	Westfield Academy	0	30	0	81,018
760	Parmiter's	0	0	20	63,439
220	Leventhorpe (The)	0	30	0	72,173
685	St Clement Danes	0	2	0	4,710
688	Bushey Academy	0	10	0	26,371

On-going Protection Funding		Funded Pupils	Funding £
Primary Maintained			
621	Parkside Community Primary School	6	16,684
43	Oughton Primary School	6	16,427
43	Oughton Primary School	15	41,067
43	Oughton Primary School	12	32,854
51	Ashwell Primary School	8	21,902
872	Brockswood Primary School	3	8,342
699	Shepherd Primary School	11	30,587
699	Shepherd Primary School	14	38,928
829	Tudor Primary School	5	13,903
353	Hurst Drive Primary School	1	2,781
907	Markyate Village School and Nursery	9	25,025
54	Codicote CofE Primary School	2	5,476
523	Peartree Primary	12	33,367
620	Saffron Green Primary	14	38,928
699	Shepherd Primary School	5	13,903
921	Greenway Primary and Nursery School	13	36,148
Primary Academies			
7	Garden City Academy	11	30,116
7	Garden City Academy	12	32,854
7	Garden City Academy	15	41,067
680	Little Reddings Primary School	12	33,367
Total			513,726
Total			3,062,794

Learning Resource Allocation for Schools with One Year Temporary Bulge Classes			
Primary Maintained			
575	Birchwood Avenue Primary School		7,000
907	Markyate Village School		7,000
Total			14,000
Leadership and Management Allocation for Primary Schools Permanently Expanding			
Sch No.	School Name	Expansion Year (PEP)	Funding
Primary Maintained			
367	Downfield Primary School	4	20,000
520	Holwell Primary School	4	20,000
907	Markyate Village School	4	20,000
129	Roebuck Primary School	4	20,000
582	St. Phillip Howard Catholic Primary School	4	20,000
292	Forres Primary School	5	20,000
83	Tannery Drift School	5	20,000
86	Roman Way First School	5	20,000
47	William Ransom Primary School	5	20,000
440	Prae Wood Primary School	5	20,000
740	Leavesden Green JMI School	6	20,000
Primary Academy			
422	Garden Fields JMI School	4	20,000
Total			240,000
GRAND TOTAL, WITHOUT CLAWBACK			3,830,520
Total Clawback			-171,935
FINAL TOTAL			3,658,585
BUDGET			4,550,000

Clawback of funding overpayment for schools with temporary bulge class departing in Summer 2017		
Primary Maintained		
455	Killigrew Primary School	-£43,795
543	Watchlytes School	-£32,440
436	Cunningham Hill Junior School	-£47,039
Primary Academy		
722	Laurance Haines Primary School (The)	-£48,661
Total Clawback		-171,935

Academy Growth Fund allocations Summer Term 2017**Primary Academies**

7	Garden City Academy	£34,149
297	Roselands Primary School	£27,746
680	Little Reddings Primary School	£10,405
862	Hammond Academy	£34,684

Secondary Academies

30	Hitchin Boys School	£10,784
31	Hitchin Girls School	£10,784
442	Sandringham School	£48,718
481	Sir John Lawes School	£32,783
554	Onslow St Audrey's Academy Trust	£37,351
672	Bushey Meads School	£48,718
685	St Clement Danes School	£48,718
760	Parmiter's School	£43,812

Total 388,652

Infant Class Size Allocations 2016-17

Sch No.	School Name	Dfe No	Category of School for ICS Funding	Primary Schools except 0.5 and 1.5 form entry £	0.5 form entry Schools £	1.5 form entry Schools £	Total Infant Class Size Allocations £
61	Breachwood Green JMI	9192064	Primary	0	10,942	0	10,942
63	Offley Endowed Primary	9193357	Primary	1,667	0	0	1,667
64	Cockernhoe Endowed C of E Primary	9193358	Primary	0	9,214	0	9,214
65	Pirton	9192077	Primary	16,667	0	0	16,667
66	Preston Primary (VC)	9193034	Primary	0	11,518	0	11,518
68	St Paul's Walden Primary	9192101	Primary	0	7,487	0	7,487
72	Wymondley JMI	9192146	Primary	0	8,062	0	8,062
127	Bedwell Primary School & Nursery	9192188	Primary	0	0	9,790	9,790
194	Benington C of E Primary	9193009	Primary	0	8,062	0	8,062
196	Graveley Primary	9193018	Primary	0	8,062	0	8,062
207	St Joseph's Catholic Primary (Bishop's Stortford)	9193318	Primary	0	0	8,638	8,638
219	Richard Whittington Primary (The)	9192443	Primary	0	0	8,062	8,062
223	Spellbrook Primary	9193038	Primary	0	8,638	0	8,638
230	Millfield First & Nursery	9192386	Primary	0	0	8,638	8,638

239	Little Hadham Primary	9192073	Primary	0	8,062	0	8,062
261	Wheatcroft Primary	9192414	Primary	0	0	9,214	9,214
262	Bayford (C of E) VC Primary	9193007	Primary	0	8,638	0	8,638
297	Roselands Primary	9192429	Academy	0	0	9,214	9,214
305	Christ Church (C of E) VA Primary & Nursery (Ware)	9193394	Primary	0	0	8,638	8,638
309	Tower Primary	9192323	Primary	13,333	0	0	13,333
318	Hunsdon JMI	9192061	Primary	0	8,062	0	8,062
321	St Thomas of Canterbury Catholic Primary	9193367	Primary	0	8,638	0	8,638
324	Thundridge Primary	9193041	Primary	0	10,366	0	10,366
349	Churchfield CofE VA Primary	9193429	Primary	0	0	12,094	12,094
425	St Michael's C of E VA Primary (St Albans)	9193363	Primary	11,667	0	0	11,667
434	Oakwood Primary	9192227	Primary	0	0	8,638	8,638
452	London Colney Primary	9192103	Primary	8,333	0	0	8,333
457	Mount Pleasant Lane JMI	9192444	Primary	0	0	8,638	8,638
486	St Nicholas C of E VA Primary (Harpenden)	9193336	Primary	15,000	0	0	15,000
490	Roundwood Primary	9192203	Primary	0	0	8,638	8,638
561	St John's VA C of E Primary (Welwyn Garden City)	9193339	Primary	0	8,638	0	8,638
562	Ponsbourne St Mary's C of E VC Primary	9193020	Primary	0	9,790	0	9,790
564	Northaw C of E Primary	9195209	Primary	0	9,214	0	9,214

574	Brookmans Park	9195210	Primary	0		0		9,790		9,790
611	Shenley Primary	9192108	Primary	0		0		9,790		9,790
654	St Giles' C of E Primary	9195204	Primary	0		10,366		0		10,366
682	Sacred Heart Catholic Primary School & Nursery (Bushey)	9193415	Primary	0		0		11,518		11,518
703	Eastbury Farm Primary	9192266	Primary	0		0		8,638		8,638
706	Maple Cross JMI	9192308	Primary	3,333		0		0		3,333
721	Bromet Primary	9192385	Primary	0		0		12,094		12,094
777	Bedmond Village Primary & Nursery	9192270	Primary	5,000		0		0		5,000
863	Yewtree Primary	9193988	Primary	0		0		8,638		8,638
867	Aycliffe Drive Primary	9192426	Primary	0		0		9,214		9,214
907	Markyate Village School & Nursery	9192074	Primary	0		0		12,094		12,094
908	Flamstead Village	9192031	Primary	0		8,638		0		8,638
929	St Bartholomew's C of E VA Primary (Wigginton)	9193380	Primary	0		10,942		0		10,942
930	Little Gaddesden CE Voluntary Aided Primary	9193352	Primary	0		8,062		0		8,062
Total Infant Class Size Allocation										438,386
Budget										521,000

Fund for Small Secondary Schools in Areas of Demographic Growth

DfE Number	School Number	School Name	Allocation £
117504	10	Fearnhill School	434,629
117555	408	Townsend C Of E School	147,992
138484	247	The Sele School	648,494
137656	79	Meridian School	404,989
137938	444	Nicholas Breakspear Catholic School	375,695
Total			2,011,800
Budget			2,012,000

HERTFORDSHIRE GROWTH FUND 2017-18

A. Growth in Pupil Numbers

This element provides additional funding to schools in the following circumstances:

- Where there is an increased Published Admission Number (PAN) determined at the request of the local authority through the annual admissions process, in order to meet basic need.
- Where there is a local agreement between a school and the authority for the school to take an increased number of pupils (which is outside the annual admissions process), in order to meet basic need.

(i) 7/12th AWPU Funding

Additional funding is provided in these situations because the additional cohort of pupils starting in September is not recorded on the schools census in time to generate additional funding in the normal way. The funding provides a resource for the period September to March and assists schools to fund the consequential staff and resource implications of the additional pupils. It is allocated as a one off payment to schools agreeing to a temporary expansion, and allocated to schools expanding permanently for the number of years required for the expansion to work its way through all the year groups in the school (e.g. 7 years for a primary school). The additional funding is calculated as follows:

Primary Schools

- a) When a school is required to appoint an additional full-time teacher as a result of the allocation of additional pupils and the planned increase in the size of the annual cohort at the school is 24 or less:
- 24 X AWPU (plus AEN uplifts calculated as per the budget shares
plus London fringe where applicable)
- X 7/12
- b) When the planned increase in the size of the annual cohort at the school is greater than 24 or when a school is allocated additional pupils but can accommodate those within existing class organisation (i.e. it is not necessary to appoint an additional full time teacher as a result of the increase):
- Planned increase in the size of the annual cohort at the school
(e.g. increase in the Published Admission Number)
- X AWPU (plus AEN uplifts calculated as per the budget shares
plus London fringe where applicable)
- X 7/12

Secondary Schools

When the Local Authority has asked a school to expand and increase the size of its annual cohort, funding will be provided for the agreed number of extra pupils as follows:

Planned increase in the size of the annual cohort at the school

X AWPU (plus AEN uplifts calculated as per the budget shares,

plus London fringe where applicable)

X 7/12

When a bulge class leaves (primary or secondary)

Following the departure of the bulge class from the school it will continue to receive funding in its budget share for the additional pupils for the remainder of the financial year. To correct this overpayment, funding for the bulge class pupils (for the period September to March) will be withdrawn from the school by a clawback of resource to the Growth Fund. The clawback will be calculated as follows:

The difference between the number of pupils in the bulge-class cohort and the school's PAN for the bulge-class year

X AWPU (plus London fringe uplift where applicable)

X 7/12

Academies

Academies are funded on an academic year basis, with allocations based on the previous October's pupil count. Therefore the time lag between an academy taking extra pupils and receiving the funding for them through its budget share is not 7 months as with a maintained school but 12 months. Therefore academies qualifying for expansion funding from the Growth Fund receive an additional allocation to cover the period April to August. This is calculated as 5/7 of the allocation for the previous September to March. (As the extra cost of this is a product of academy funding arrangements, it will be reimbursed to the authority by the DfE and will not be a charge to the Hertfordshire Schools Budget.)

(ii) Revenue Protection Factor

In addition there is a protection factor which guarantees pupil funding for expanding primary schools up to 24 or multiples thereof. This means, for example, that a school expanding from 1-2 f.e. would be guaranteed funding for a minimum of 48 pupils if the actual number of pupils fell below this level. At a time of rapidly rising rolls this protection factor is only likely to be activated in exceptional situations but schools consider it an important element when agreeing to expand or not.

In the case of temporary expansions, the classes with the "bulge" cohort will be protected at the target number throughout the cohort's time in the school. For permanent expansions the target number formula will also be applied to subsequent cohorts until the new PAN has worked its way through the school (i.e. for 7 years in the case of a primary school).

For schools that expand to one and a half forms of entry the protection funding will be calculated across two year groups (excluding reception) as schools will be expected to mix year groups.

It is not intended that this factor should provide a greater degree of protection to expanding

schools than they would have received if they had not expanded. Therefore, if the actual pupil numbers of the school fall to (or below) the pre expansion Published Admission Number (PAN) and it is agreed that thereafter the pre expansion PAN would apply; this protection funding would not be payable.

(iii) Learning Resources Allocation for Schools with One Year Bulge Classes

In addition to allocations from the Growth Fund, expanding schools receive a capital allocation to purchase furniture and equipment for new classes. The allocation is £13k per reception class and £11k per class for older children.

Schools with one year temporary expansions (ie a one year bulge class) receive a one off capital allocation of £13k. This does not take into account the need to acquire key stage 2 learning resources when the bulge class reaches KS2. Also because it is a capital allocation, it cannot be used for revenue expenditure.

Schools taking a temporary bulge class for the first time and which need to open an additional classroom to accommodate the increase will therefore receive a one off £7k allocation from the Growth Fund. (Therefore the total allocation for the first extra class will be £20k, comprising a Growth Fund allocation of £7k and a capital allocation of £13k.). This total can be used for furniture, equipment and learning resources as the class of pupils moves through the school.

(iv) Leadership and Management Allocation for Primary Schools Permanently Expanding

Primary schools permanently expanding by 0.5 f.e or more will receive a one off £20k allocation from the Growth Fund to assist with the additional leadership and management costs involved in planning and delivering an expansion. In addition, as this is a new allocation, in 2017-18 a one off £20k allocation will be made to primary schools that have been permanently expanded by 0.5 f.e. or more as part of Primary Expansion Programme (PEP) 4 or PEP 5, to bring them in-line with previous and later primary school expansions.

B. Additional Growth Fund Allocation - Secondary Schools/Academies becoming All Through

Eligibility Criteria

This element of the Growth Fund provides additional funding for transitional costs to secondary schools/academies which convert to become all through schools/academies at the request of the County Council, in order to meet local basic need. This Growth Fund allocation will not be payable if a school/academy is in receipt of start- up funding or post opening funding from another source, such as the Education Funding Agency.

Allocations

This element of the Growth Fund comprises the following allocations:

- a) Allocation in the financial year prior to the opening of the new primary unit: £12,000
- b) Allocation in the Summer term prior to the opening of the new primary unit: £48,000
- c) Allocation in the period from the date of opening of the primary unit to the end of the financial year: £39,000
- d) Allocation in the following financial year: £65,000

C. Brand new schools/academies

Brand new schools have a range of revenue start-up costs of which the main elements are:

Start-up costs prior to opening (e.g. the appointment of a headteacher and other key staff for a period prior to opening, such that they can prepare the school to open to pupils).

Post opening costs

- Resources - a brand new school will need to purchase classroom materials and resources.
- Diseconomies of scale and financial viability in the period after opening. The number of funded pupils will inevitably be low in the first years after opening and the fixed cost of leadership, management, premises and provision of places across many age groups will therefore be a disproportionately high proportion of a schools budget.

a) Growth Fund allocation for start-up costs of brand new schools and academies

An allocation will be made to fund the start-up costs of brand new primary and secondary schools/ academies, which are established in response to basic need for pupil places.

b) Eligibility criteria

Funding will be payable to brand new schools and academies with no predecessor school, which have been established at the request of the Authority to meet basic need for pupil places. This includes free schools which are being opened to meet the need for a new school as referred to in Section 6A of the Education Act 2011 but it does not include other free schools.

c) Allocation Formula

i) Start-up funding pre-opening - A one off allocation of £100,000 for a primary school and £195,000 for a secondary school, generally paid one to two terms before the opening of the new school.

ii) Post-opening funding - resources

This would be calculated as £7,000 per 30 places created (excluding nursery and sixth form) – i.e. a 2f.e. primary school would create 420 places and attract £98,000 and a 6f.e. secondary school would create 900 places and would attract £210,000. This funding would be paid over the first three years that the school/academy is open, proportionate to the build-up of pupil numbers.

iii) Post-opening funding – diseconomies and viability

Primary Schools

Where a new primary school is required to provide places in multiple/all year groups from the date of opening, a protected minimum level of funding will apply for the first three years from the date of opening.

1) If the number of AWPU's funded in the school's budget share/general annual grant would otherwise be less than 96 AWPU's, then the funding will be adjusted so that the school receives AWPU funding for a minimum of 96 AWPU's. This will be pro rata if the school/academy is only open for a proportion of the funding period (i.e. academic year for academies, financial year for maintained schools) or if the third anniversary of opening falls before the end of the funding period.

2) A modified arrangement will operate, when the following criteria apply:

i) the school is obliged to admit over 30 pupils in reception and/or in key stage 1, in order to provide places for children moving into the new housing development,

ii) the additional pupils above 30 are not covered by any of the exceptions to the infant class size regulations,

iii) the number of AWPU's funded in the school's budget share/general annual grant is less than 120.

In this situation the Growth Fund will allocate the difference between 120 AWPUs and the number of AWPUs funded in the school's budget share/general annual grant.

If conditions i) and ii) relate both to reception and to key stage 1, then the Growth Fund will allocate the difference between 144 AWPUs and the number of AWPUs funded through the school's budget share/general annual grant.

In these calculations, the number of AWPUs funded through the school's budget share/GAG will be the figure after taking into account the impact of any retrospective funding adjustment to reflect the difference between estimated and actual pupil numbers.

This funding will be allocated from the start of the term in which the above conditions are met until the end of the funding period (ie academic year for academies, financial year for maintained schools) or until the third anniversary of the school/academy's opening if this is sooner. The funding will be pro rata to the proportion of the funding period for which it is allocated (if the conditions applied to an academy in its first year of opening from the Spring Term then 8/12 of the amount would be allocated, relating to the period from January to August).

Under both scenarios (1 and 2) above, the guaranteed minimum number of AWPUs may be delivered by basing the new primary's budget share on this number of pupils, rather than by making an allocation through the Growth Fund.

Funded pupil numbers

Even when the school's pupil numbers are greater than the protected minimum pupil numbers described above, during the first three years after opening the Authority will estimate pupil numbers for the school and endeavour to arrange with the EFA that the school is funded according to these estimated numbers. However, this arrangement is not under the control of the Authority and cannot be guaranteed

Secondary schools

1) For new Secondary schools required to open in at least all KS3 year groups (7 to 9), from their date of opening, protection funding will apply as follows, for the first three years from the date of opening: If the number of AWPUs funded in the school's budget share/General Annual Grant would be less than 150 KS3 AWPUs, then the funding will be adjusted so that the school receives AWPU funding for a minimum of 150 KS3 AWPUs. In addition, if the AWPU and lump sum funding for the school total less than £900k, an allocation will be made from the Growth Fund for the difference between the AWPU and lump sum funding and £900k. These protections will be pro rata if the school/academy is only open for a proportion of the funding period (i.e. academic year for academies, financial year for maintained schools) or if the third anniversary of opening falls before the end of the funding period.

The guaranteed minimum number of AWPUs may be delivered by basing the new secondary school's budget share on this number of pupils, rather than by making an allocation through the Growth Fund.

2) For new Secondary schools required to open in year 7 only from their date of opening, protection funding will apply as follows, for the first three years from the date of opening:

If the number of AWPUs funded in the school's budget share/General Annual Grant would be less than 150 KS3 AWPUs, then the funding will be adjusted so that the school receives AWPU funding for a minimum of 150 KS3 AWPUs. In addition, if the AWPU and lump sum funding for the school total less than £850k, an allocation will be made from the Growth Fund for the difference between the AWPU

and lump sum funding and £850k. These protections will be pro rata if the school/academy is only open for a proportion of the funding period (i.e. academic year for academies, financial year for maintained schools) or if the third anniversary of opening falls before the end of the funding period.

The guaranteed minimum number of AWPUs may be delivered by basing the new secondary school's budget share on this number of pupils, rather than by making an allocation through the Growth Fund.

Funded pupil numbers

Even when the school's pupil numbers are greater than the protected minimum described above, during the first three years after opening the Authority will estimate pupil numbers for the school and will endeavour to arrange with the EFA that the school is funded according to these estimated numbers. However, this arrangement is not under the control of the Authority and cannot be guaranteed.

D. Infant Class Size (ICS) Protection

The School Admissions Regulations require that (with certain exceptions) reception/key stage 1 classes should not be larger than 30 pupils. The Growth Fund provides allocations to support schools in delivering the infant class size requirement, covering the following groups of schools:

- Primary Schools except 1.5 and 0.5 Form Entry Schools and Small Schools
- 0.5 Form Entry Schools
- 1.5 Form Entry Schools

a) Primary schools except 0.5 and 1.5 form entry schools and small schools.

This element of the infant class size factor focuses on schools with non-standard PANs or large numbers of vacancies, which may face particular challenges in complying with the infant class size requirement.

Eligible schools are:

- Schools with high levels of vacancies - defined as where the total reception and KS1 number (i.e. three year groups) on roll at the October census prior to the start of the financial year is less than 80% of the PAN multiplied by 3.
- Schools with non-standard PANs - defined as those with a PAN of 21 to 22 or 51 to 52.

Schools eligible for the separate 1.5 fe or 0.5 fe elements of ICS funding are not also eligible for this element. Schools with fewer than 90 pupils on roll do not qualify for ICS funding as it would be assumed their class size issues are the product of being small schools.

The allocation formula is as follows:

Calculate the difference between the number of classes required (Reception & KS1 pupil numbers/30 and rounded up) and the number of classes notionally funded (Reception & KS1 pupil numbers/24).

Where the number of classes required exceeds the number of classes notionally funded, take the

difference between the two and multiply by £40,000; which is the approximate cost of a teacher on the pay grade MPS6.

Example:

	R/KS1 pupil number	Classes required	Classes funded (Pupil Numbers divided by 24)	Additional class required	Additional funding at £40,000 per Additional Class
School A	91	4	3.79	0.21	£8,333
School B	151	6	6.29	0	£0

b) Small Schools

Schools with 90 or fewer pupils are not eligible for ICS funding. Small schools will not have more than 30 pupils in a year group and there is a general expectation (for example, by parents) that they will vertically group classes, depending on numbers, which gives small schools more flexibility in this area.

c) 0.5 Form Entry Schools

The definition of a 0.5 form entry school is a school with Reception pupil numbers between 10 and 17 (inclusive) and Key Stage 1 numbers of between 20 and 34 (inclusive). The position of a 0.5 form entry (FE) school is similar to that of a 1.5 form entry (FE) school, in that each is 15 pupils short of full reception class.

The funding formula for 1.5 FE schools is also applied to 0.5 FE schools. Funding is allocated using a ghost pupil approach based on Reception pupil numbers only. Under this formula the school attracts funding based on the number of ghost pupils, which is the difference between the number of reception pupils and 30. Funding is allocated at £576 per ghost pupil, based on the number of ghosts implied by the pupil numbers from the October 2016 census.

Example:

	Reception Pupil Number	30 less reception pupil number (ghost pupils)	Funding at £576 per ghost pupil
School C	15	15	£8,638

d) 1.5 Form Entry Schools

These schools face the practical difficulty that while it is possible to identify 3 mixed age classes in Key Stage 1, it is difficult to provide a sustainable class structure for the Reception year group.

Funding is allocated using a ghost pupil approach based on Reception pupil numbers only. The number of ghosts is the difference between the number of Reception pupils and the next multiple of 30 (i.e. 45 Reception pupils = 15 ghosts). Funding is allocated at £576 per ghost

pupil, based on the number of ghosts implied by the pupil numbers from the October 2016 census.

The definition of a 1.5 FE entry school for Infant Class Size funding purposes is a school with between 30 and 50 (exclusive) reception pupils and between 72 and 92 (inclusive) KS1 pupils.

October 2016 pupil numbers will be used to calculate the ICS funding in 2017-18.

Interaction of Infant Class Size Protection and Expansion Funding

It is not intended that a school should separately attract both Growth in Pupil Numbers funding and ICS protection funding. Therefore, with the exception of schools that expand to one and a half forms of entry, schools eligible for funding through the Growth in Pupil Numbers element of the Growth Fund will not also receive ICS funding.

Schools that expand to one and a half forms of entry will receive both Growth in Pupil Numbers funding and ICS protection funding from the year after the expansion started.

HERTFORDSHIRE FUND FOR SMALL SECONDARY SCHOOLS IN AREAS OF DEMOGRAPHIC GROWTH 2017/18

Hertfordshire operates a Fund to support small secondary schools and academies with surplus places which will be needed in the near future.

The eligibility criteria and formula for determining allocations from the Fund are based on the assessment that 550 pupils (years 7-11) is the minimum long-term viable size for a school.

The Fund has the following eligibility criteria:

- (i) The school/academy has fewer than 550 pupils (excluding sixth form) in the October census prior to the start of the financial year.
- (ii) The number of places offered by the school across year groups 7 to 11, if full, is greater than 550.
- (iii) The authority has forecast that at least 110 places will be required from the school in year 7 (year 9 for upper schools) by Autumn Term 2020; otherwise there will be an absolute shortfall of capacity in the relevant planning area.
- (iv) The school is Good or Outstanding. The date at which Ofsted category data will be taken will be 31st August prior to the start of the financial year to which funding relates, except that a school which becomes Good or Outstanding in the period after this and before the end of the financial year to which funding relates shall also be eligible.

Formula for Allocation:

The allocation formula takes account of the size of the school and any MFG protection funding the school receives in its budget share to avoid duplicating it.

The formula for determining an allocation to eligible schools is:

- (i) KS3 calculation: $330 - \text{actual number of KS3 pupils on roll} \times \text{KS3 AWPU} \times 66\%$
- (ii) KS4 calculation: $220 - \text{actual number of KS4 pupils on roll} \times \text{KS4 AWPU} \times 66\%$
- (iii) Sum of the result of lines i and ii
- (iv) Deduct any MFG protection funded received by the school - the MFG protection figure taken into account in calculating allocations from the Fund is the MFG protection calculated by the Authority and notified to the DfE via the Authority Proforma Tool (APT).
- (v) Equals the allocation from the Fund.

Any School which becomes eligible for the funding because it is judged Good or Outstanding during the financial year shall receive a pro rata allocation from the start of the term following their inspection. (E.g. if a school received a "Good" rating following an inspection in June 2017, it would therefore be eligible from September 2017 and receive a payment of 7/12 of the calculated annual funding.)

This Fund does not cover schools or academies which have newly opened in the last seven years.

HERTFORDSHIRE FUND FOR PRIMARY SCHOOLS WITH FALLING PUPIL ROLLS IN AREAS OF DEMOGRAPHIC GROWTH 2017/18

Hertfordshire will operate a fund for primary schools which have experienced a substantial short term fall in pupil numbers but where the surplus places will be needed in the near future.

a) Eligibility Criteria

The Fund has the following eligibility criteria:

- i) The school has had a reduction in its pupil numbers (excluding nursery pupils) of both more than 20% AND more than 30 pupils, between the October 2014 census and the October 2016 census.
- ii) The Authority has forecast that:
 - by Autumn Term 2020 the school's pupil numbers (excluding nursery) will have increased by at least 20% above the October 2016 census AND
 - by Autumn Term 2021, the school's pupil numbers (excluding nursery) will have returned to, or exceeded, the October 2014 census number.
- iii) The school is Good or Outstanding. (This is a DfE requirement for eligibility for the Fund.) The date at which Ofsted category data will be taken will be 31st August prior to the start of the financial year to which funding relates, except that a school which becomes Good or Outstanding during the subsequent Autumn Term prior to the start of the financial year shall also be eligible.

b) Allocation Formula

The allocation formula is as follows:

The decrease in the number of pupils on roll between October 2014 and October 2016 – 30, x
primary AWPU x 50%

Example:

A primary school had 400 pupils on roll in October 2014 and 300 in October 2016, a decrease of 100 pupils in the number on roll. It is forecast to have 380 pupils on roll by the Autumn Term 2020 and 420 pupils on roll by the Autumn Term 2021. The school would be eligible for an allocation from the Fund and this would be calculated as follows (using the 2016-17 primary AWPU for illustrative purposes):

$(100-30) \text{ pupils} \times \text{£}2731.95 \times 50\% = \text{£}95,618$ allocation from the Fund.