

## **Annex A:**

### **Proposed Falling Rolls Fund Criteria 2018-19**

**Note that updated dates and AWPUs have been highlighted in yellow for reference (dates have been updated in line with the spirit of the previously agreed criteria).**

#### **FUND FOR SMALL SECONDARY SCHOOLS IN AREAS OF DEMOGRAPHIC GROWTH**

Hertfordshire operates a Fund to support small secondary schools and academies with surplus places which will be needed in the near future.

The eligibility criteria and formula for determining allocations from the Fund are based on the assessment that 550 pupils (years 7-11) is the minimum long-term viable size for a school.

The Fund has the following eligibility criteria:

- (i) The school/academy has fewer than 550 pupils (excluding sixth form) in the October census prior to the start of the financial year.
- (ii) The number of places offered by the school across year groups 7 to 11, if full, is greater than 550.
- (iii) The authority has forecast that at least 110 places will be required from the school in year 7 (year 9 for upper schools) by Autumn Term **2022**; otherwise there will be an absolute shortfall of capacity in the relevant planning area.
- (iv) The school is Good or Outstanding. The date at which Ofsted category data will be taken will be 31st January prior to the start of the financial year to which funding relates.

Formula for Allocation:

The allocation formula takes account of the size of the school and any MFG protection funding the school receives in its budget share to avoid duplicating it.

The formula for determining an allocation to eligible schools is:

- (i) KS3 calculation:  $330 - \text{actual number of KS3 pupils on roll} \times \text{KS3 AWPu} \times 66\%$ ;
- (ii) KS4 calculation:  $220 - \text{actual number of KS4 pupils on roll} \times \text{KS4 AWPu} \times 66\%$ ;
- (iii) Sum of the result of lines i and ii ;
- (iv) Deduct any MFG protection funded received by the school - the MFG protection figure taken into account in calculating allocations from the Fund is the MFG protection calculated by the Authority and notified to the DfE via the Authority Proforma Tool (APT);
- (v) Equals the allocation from the Fund.

Allocations will be capped at £500k per school in **2018-19**

This Fund does not cover schools or academies which have newly opened in the last **nine** years

## **FUND FOR PRIMARY SCHOOLS WITH FALLING PUPIL ROLLS IN AREAS OF DEMOGRAPHIC GROWTH**

Hertfordshire will operate a fund for primary schools which have experienced a substantial short term fall in pupil numbers but where the surplus places will be needed in the near future.

### **a) Eligibility Criteria**

The Fund has the following eligibility criteria:

- i) The school has had a reduction in its pupil numbers (excluding nursery pupils) of both more than 20% AND more than 30 pupils, between the October **2016** census and the October **2018** census.
- ii) The Authority has forecast that:
  - by Autumn Term **2022** the school's pupil numbers (excluding nursery) will have increased by at least 20% above the October **2018** census AND
  - by Autumn Term **2023**, the school's pupil numbers (excluding nursery) will have returned to, or exceeded, the October **2016** census number.
- iii) The school is Good or Outstanding. (This is a DfE requirement for eligibility for the Fund.) The date at which Ofsted category data will be taken will be 31st January prior to the start of the financial year to which funding relates, except that a school which becomes Good or Outstanding during the subsequent Autumn Term prior to the start of the financial year shall also be eligible.

### **b) Allocation Formula**

The allocation formula is as follows:

The decrease in the number of pupils on roll between October **2016** and October **2018** – 30, x primary AWPU x 50%  
Example:

A primary school had 400 pupils on roll in October **2016** and 300 in October **2018**, a decrease of 100 pupils in the number on roll. It is forecast to have 380 pupils on roll by the Autumn Term **2022** and 420 pupils on roll by the Autumn Term **2023**. The school would be eligible for an allocation from the Fund and this would be calculated as follows (using the 2017-18 primary AWPU for illustrative purposes):

$(100-30) \text{ pupils} \times £2737.80 \times 50\% = £95,823$  allocation from the Fund.