

Managed Moves (Cat 4 of the Fair Access Protocol)

Report of the Director of Children's Services

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1. Purpose

1.1 This paper outlines proposed changes to the funding of managed moves and request financial support to undertake this work.

2. Summary

2.1 As previously reported in February 2019 to Schools Forum, there has been a very substantial increase in the workload of the Integration team since 2012

- Permanent exclusions – overall rise of 645%
- Pupils who meet the Fair Access Protocol, (includes two streams: in year applicants and managed moves) – overall rise of 67%

2.2 In response to this, earlier this year Forum agreed funding to enhance staffing in these areas. The report below sets out recent changes in work volumes and positive outcomes as between Fair Access work and work related to Permanent Exclusions. It sets out the broadening of the categories of pupils eligible for re-integration support. It also sets out the need for an increase in the budget for specific measures to support reintegration. The low level of such support has been a cause of concern/complaint by schools, who believe what is offered to support reintegration falls well below actual costs.

3. Recommendations

3.1 In preparation for a more preventative model with a focus on early intervention through the managed move process, Schools Forum is asked to agree:

- (i) In principle an additional £66,000 to complement the current re-integration budget.
- (ii) That the funding of this should be considered alongside other priorities for the Central Schools Services Block/High Level Needs when these are agreed.

4. **Background**

- 4.1 The re-integration budget for 2018-19 was £98,988 which is approximately £182 for each child who meets the Fair Access Protocol. This currently enables the team to offer small amounts of funding (£200-500 in most cases) to support re-integration of some children back into school. This funding is agreed for a number of provisions that may support a child in the initial 6 week phase of admission

Identified need and support offered	£ 2018-19 total cost
Transport	322
Counselling services	2708
Financial support for arrangements to agree withdrawal of permanent exclusions	9762
Coaching for re-engagement in education	5618
College/alternative provisions	10700
YC Herts group work	16800
Extended work experience	1550
Tuition	9427
Targeted reintegration intervention/TA support	36665
Targeted EAL support	2634
Examination support	400
Training for schools	1100
£ Total for financial year 2018-19	97686

The level of funding that the team are able to offer is regularly criticised by schools in relation to the actual level of need for Fair Access admissions. It was raised at Primary Heads Forum that the real cost of reintegration can be over £8,000 per pupil through the Fair Access Protocol.

- 4.2 Category 4 of the Hertfordshire Fair Access Protocol is 'Children who are at serious (not imminent) risk of exclusion and therefore are 'managed moves'

As part of recent developments, there has been a refocus on earlier intervention which has included the production of an overarching managed move protocol which has now been completed and published. It has been received positively by schools.

It is worth noting that whilst this early intervention development was undertaken, there was a significant shift in service demand for the Integration Team over the spring/summer terms, which led to the following change for 2018-19:

- Fair Access work (including managed moves) – increase by 37%
- Permanent exclusion work – decrease by 26%

	Primary			Secondary		
	Total no. of notified permanent exclusions	No. of these permanent exclusions withdrawn via Integration Team intervention	Actual number of permanent exclusions that went through to GDC	Total no. of notified permanent exclusions	No. of these permanent exclusions withdrawn via Integration Team intervention	Actual number of permanent exclusions that went through to GDC
2017-18	48	10	38	224	98	126
2018-19	53	22	31	196	106	90
2017-18 %	100%	21%	79%	100%	43.0%	57%
2018-19 %	100%	41.5%	58.5%	100%	54.5%	45.5%
	PEX up by 10.4%	Withdrawn PEX up by 120.5%	PEX down by 18%	PEX down by 12%	Withdrawn PEX up by 8%	PEX down by 28%

- 4.3 As part of this new protocol, it has been agreed that any remaining AWPU for a pupil will pass from the original school to the new school in order to support the pupil's integration into school. This will correspond to the funding adjustment 'as if the pupil had been permanently excluded (AWPU, pupil premium and relevant amounts in other pupil led factors).

The Schools Forum is asked to note that based on figures for 2018-19 this would have meant that 179 children would have benefitted from AWPU transfer and thus releasing £32,587 of re-integration funding for the other categories of the Fair Access Protocol.

- 4.4 The Managed Move working groups also identified additional complex categories of admission where the managed move procedure may be highly beneficial to both the pupil's needs and school's admissions management.

Therefore the following categories of admission have also been included within the managed move protocol

1. Cat 5 of the Fair Access Protocol – children who have been identified as receiving unsatisfactory elective home education, or who are registered as 'children missing education' i.e. not on a school roll and not electively home educated. This category is dealt with at length in Appendix of the Fair Access Protocol (57 pupils in 2018-19)
2. Cat 1 & 4 Complex movers in –these children have a previous history of significant behaviour need, permanent exclusion, attendance at PRU or similar establishment and often arrive in Hertfordshire without the background education information that would be available for a Herts Cat 1 or 4 child.
3. Cat 1 pupils – those children returning to mainstream after attending ESC, PSB or locality provision, or where they had been permanently excluded and identified as suitable for a return to mainstream school(121 pupils 2018-19)

4. Multiple hoppers – those children who request their 3rd move (hop) of school within a key stage who have a history of additional needs (new category with numbers to be tracked from Sept 2019)

4.3 There are high levels of concern for the complex movers in who come under point 2 above. The current ESC provision serves local schools’ needs within a specified area. However, there is no established agreement with the ESCs to ensure that children who move into Hertfordshire under point 2 are provided with alternative provision at the local ESC, despite there usually being clear indication that they are not indicated for any return to mainstream provision. These children are often highly complex with multiple needs and can spend long periods of time without provision whilst the team exhaust mainstream and ESC possibilities, sometimes having to set up alternative packages of support outside of mainstream provision.

4.4 The total local authority cost for education provision for complex movers in for 2018-19 was £56,917.

Please see the table below which shows the volume of these complex movers in:-

DSPL	Number of complex movers in	Number of these complex movers in who were KS4 (more unlikely to secure a mainstream provision)
1	9	2
2	5	2
3	4	4
4	15	2
5	3	2
6	1	1
7	2	0
8	5	2
9	3	2
Total	47	17

4.5 Additionally each academic year, the Fair Access Team also manages a small number of permanently excluded pupils who are in the process of assessment for an Education and Health Care Plan. These children often have complex and long term difficulties with daily episodes of behaviour need. This usually require highly individualised programme of support with frequent interventions to enable the pupil to engage and participate in their learning. These children meet the Early Years Indicators of Need as ‘exceptional’ and their outcome is often special provision in the longer term, however many return to mainstream provision in the short term whilst waiting for special school places to become available.

These cases are managed in line with DFE requirements as Fair Access Protocol cases (HCC FAP category 11 of ‘children with SEN but without an EHCP’) and there is a shortfall between the point of admission and the new school’s ENF request for Exceptional Needs funding at their local ENF cluster. Looking at the figures for 2018-19 as a possible forecast for future provision, please see the table below.

DSPL	Number of primary permanently excluded children with pending EHCPs 2018-19	On roll date at new provision	Date of local ENF cluster	Number of days shortfall between both dates	Financial forecast of potential cost based on current ENF £
2	1	21/01/2019	14/03/2019	34	2138.60
3	1	10/07/2019	22/11/2019	64	4025.60
4	1	01/02/2019	20/03/2019	29	1824.10
5	2	08/11/2018	30/11/2018	17	1069.30
		05/04/2019	27/06/2019	44	2767.60
8	1	07/03/2019	25/06/2019	65	4088.50
Total	6			253	15913.70

Finally, it is worth noting the 2018-19 shortfall between Day 6 and the next provision, (mainstream, ESC or alternative) is shown below. This has occurred due to ESCs advising they are full and therefore unable to offer Day 6 provision

DSPL	Number of primary permanently excluded children without Day 6 provision being offered	Total number of school days shortfall for Day 6 provision	Cost for interim tuition £
1	1	16	1120.00
2	3	33	2310.00
3	6	81	5670.00
4	1	9	630.00
5	3	105	7350.00
7	7	124	8680.00
Total	21	368	25760.00

These costings are based on a 10 hour week tuition programme only as an interim provision until ESC provision has been made available or a return to mainstream school has been secured.

This by no means shows the full Day 6 capacity issue dealt with during 2018-19 as Integration Officers placed permanently excluded children back into mainstream schools as no Day 6 alternative was made available. Potentially some children who were indicated for ESC provision and returned to mainstream settings have increased the level of twice excluded pupils for 2018-19. As all schools can refuse entry for up to two years for a twice excluded child, this strategy is not effective in the long term as the burden of the financial requirement to support an alternative provision becomes significant.

- 4.5 The Schools Forum is also asked to note the pending changes to legislation from the government in relation to the Children in Need Review. The DfE have advised that they intend to add both Child in Need and Child Protection categories to Fair

Access Protocol requirements in future admissions legislation changes. The DfE estimate that 1 in 10 children are categorised as CIN.

Based on the current level of In Year applications received (12,000+) this could potentially lead to an increase of 1,250 children identified as Fair Access under the CIN category. Last academic year, the team saw another overall rise of 10% in workload, and these changes would be a further rise of 130% on current volume. We are therefore collecting data for forecast of future Fair Access team staffing requirement.

Financial implications

The children supported from this budget include some who would appear to be eligible for support from the High Level Needs Block, and some who might not. Further work is required to identify which Block should be the source of funding for the increase in this budget, if it is agreed. That would be undertaken as part of the detailed budget preparation work, prior to Forum finally agreeing the HLN and CSSB budgets later this year.

5.0 Conclusion

- 5.1 The figures above represent a significant additional spend of approx. £98590 in total for 2018-19 on the three groups outlined above. Taking into account AWPU transfer arrangements for managed moves at £32,587, this request is for additional funding of £66,000.

The recommendation is therefore to increase the current budget capacity in order to ensure our legal compliance with statutory legislation in ensuring these children receive appropriate education provision.