

## **GROWTH FUND AND FALLING ROLLS ALLOCATIONS 2020-21**

### *Report of the Director of Children's Services*

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#### **1. Purpose**

- 1.1 To consult the Forum on the Growth Fund and Falling Rolls allocations for 2020-21.

#### **2. Summary**

- 2.1 This paper details the 2020-21 Financial Year Growth Fund and Falling Rolls allocations and draws the Forum's attention to the impact on the Growth Fund of the delay in the opening of Avanti Meadows primary school in Bishops Stortford.

#### **3. Recommendations**

- 3.1 The Forum is asked to note and support the allocations.

#### **4. Background**

- 4.1 The criteria for determining allocations from the Growth Fund and the Falling Rolls Fund have been previously agreed by the Forum, including some amendments approved in late 2019 and early 2020. DfE guidance requires that the Forum is consulted on the allocations themselves. However, as the allocations have been calculated according to the criteria, in practice they are not open to change.

#### **5. Allocations**

- 5.1 Annexes A and B detail the 2020-21 Growth Fund allocations in respect of additional places and infant class size. Also shown are the academy Growth Fund allocations for the Summer Term 2020, which are funded by the DfE, and funding for temporary increases at both maintained schools and academies, in areas of late identified need.
- 5.2 Annex C details the 2019-20 Falling Rolls allocations.

- 5.3 Annex D shows the criteria for determining allocations from the Growth Fund and Falling Rolls Fund.

## **6. Growth Funding for brand new schools**

Annex D, Section C sets out the Growth Funding to support brand new schools, including start-up funding costs prior to opening as well as post opening costs.

Due to the current Covid-19 pandemic, the DfE, the Avanti Trust and HCC have agreed a delay in the opening of the new Avanti Meadows Primary School in Bishops Stortford by one academic year. It is now planned to open in September 2021. The Trust has already employed staff in preparation for opening this September and the delay has therefore incurred additional staffing costs over and above those covered in the pre-opening costs provided within the Growth Fund.

Officers are currently engaged with the Trust to confirm the financial impact in light of these extenuating circumstances, with a view to seeking approval from Schools Forum in September for an additional one-off exceptional Growth Fund payment to assist in covering this additional cost.

Given the uncertainty around the timing and amount of start-up funding for Avanti Meadows Primary School, this is not included in Annex A.

## **7. Conclusion**

- 7.1 The Forum is asked to note the information in this report.

## Allocations in respect of Additional Places

Sch. No.	School Name	Planning Area	Size of Expansion (pupil numbers)			
			Primary age	11 - 13	14 - 15	Funding £
Primary Maintained						
47	William Ransom Primary (The)	Hitchin South	24			41,784
71	Weston Primary	Baldock Villages	3			5,698
83	Tannery Drift	Royston Town	24			43,664
121	Almond Hill Junior	Stevenage North West	30			58,350
159	Giles Junior	Stevenage North East A	30			60,512
207	St Josephs Catholic Primary	Bishop's Stortford	24			43,714
230	Millfield First & Nursery School	Buntingford	24			43,958
235	Hormead C of E (VA) First and Nursery School	Hormead	5			9,785
285	St Catherine's VC CE Primary	Hoddesdon	7			14,357
292	Forres Primary	Hoddesdon	15			30,295
307	St Catherine's (C of E) Primary	Ware Town	15			29,953
422	Garden Fields JMI	St Albans North West	30			56,878
425	St Michaels Primary School	St Albans West	8			15,820
426	St Peter's	St Albans South West	30			56,136
440	Prae Wood	St Michaels	30			55,442
520	Holwell Primary	WGC East	30			65,513
582	St Philip Howard Catholic Primary School	Hatfield	15			30,133
695	Yorke Mead Primary	Croxley Green	30			55,575
740	Leavesden JMI School	Watford North East	30			64,217
832	Belswains Primary	Hemel Hempstead South East	30			60,296
859	Galley Hill Primary and Nursery School	Hemel Hempstead North West	15			31,517
907	Markyate Village School & Nursery	Hemel Rural North	15			28,773

<b>Secondary Maintained</b>						
205	St Mary's Catholic School	Bishop's Stortford & Sawbridgeworth		7	0	18,138
408	Townsend C of E School	St Albans		30	0	83,601
915	Ashlyns	Berkhamsted		15	0	38,481
915	Ashlyns	Berkhamsted		30	0	76,963
<b>Primary Academies</b>						
87	Roman Way Academy	Royston Town		15		28,517
129	Roebuck Primary	Stevenage South East		30		58,032
<b>Secondary Academies</b>						
30	Hitchin Boys	Hitchin		45	0	112,660
31	Hitchin Girls	Hitchin		45	0	111,883
201	Hertfordshire & Essex High School (The)	Bishop's Stortford & Sawbridgeworth		0	20	64,881
204	Birchwood High School	Bishop's Stortford & Sawbridgeworth		7	0	18,318
402	St Albans Girls'	St Albans		30	0	76,538
406	Beaumont	St Albans		30	0	76,386
409	Marlborough School	St Albans		28	0	75,524
442	Sandringham	St Albans		0	30	101,575
510	Stanborough	Welwyn Garden City		30	0	81,814
553	Chancellors	Potters Bar		30	0	78,282
607	Hertswood	Borehamwood		30	0	86,001
685	St Clement Danes School	Rickmansworth		0	30	97,321
690	Rickmansworth	Rickmansworth		30	0	75,024
710	Watford Grammar School for Boys	Watford		0	6	19,464
710	Watford Grammar School for Boys	Watford		28	0	69,925
717	Westfield Academy	Watford		0	30	97,321
802	Longdean	Hemel Hempstead		20	0	54,969
802	Longdean	Hemel Hempstead		2	0	5,497
<b>Total</b>						<b>2,509,483</b>

On-going Protection Funding			Funded Pupils	Funding £
<b>Primary Maintained</b>				
43	Oughton Primary School	Hitchin North	11	31,946
43	Oughton Primary School	Hitchin North	9	26,138
54	Codicote	Codicote	18	52,275
54	Codicote	Codicote	4	11,617
198	Walkern Primary	Stevenage North East Villages	7	20,647
198	Walkern Primary	Stevenage North East Villages	2	5,899
198	Walkern Primary	Stevenage North East Villages	7	20,647
292	Forres	Hoddesdon	9	26,546
292	Forres	Hoddesdon	1	2,950
270	Watton at Stone	Watton District North	5	14,748
425	St Michael's Primary School	St Albans West	6	17,698
440	Prae Wood	St Michaels	3	8,849
440	Prae Wood	St Michaels	3	8,849
459	St Helens	Wheathampstead	13	38,345
523	Peartree Primary	WGC East	18	53,093
699	Shepherd Primary School	Rickmansworth	19	56,042
699	Shepherd Primary School	Rickmansworth	1	2,950
699	Shepherd Primary School	Rickmansworth	3	8,849
699	Shepherd Primary School	Rickmansworth	2	5,899
699	Shepherd Primary School	Rickmansworth	16	47,193
699	Shepherd Primary School	Rickmansworth	5	14,748
907	Markyate Village School and Nursery	Hemel Rural North	19	56,042
907	Markyate Village School and Nursery	Hemel Rural North	12	35,395
<b>Primary Academies</b>				
7	Garden City Academy	Letchworth South	14	40,659
7	Garden City Academy	Letchworth South	5	14,521
7	Garden City Academy	Letchworth South	13	37,754
87	Roman Way First	Royston Town	3	8,713
			<b>Total</b>	<b>669,010</b>

Learning Resource Allocation for Schools with One Year Temporary Bulge Classes			
Sch No.	School Name	Funding	
Primary Maintained			
	Not applicable		
Total			
Leadership and Management Allocation for Primary Schools Permanently Expanding			
Sch No.	School Name	Expansion Year (PEP 8)	Funding
Primary Maintained			
	Not applicable		
Primary Academy			
	Not applicable		
Total			0
FINAL TOTAL			3,178,493 <sup>1</sup>
BUDGET			4,257,000

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<sup>1</sup> Totals may not equal the sum of school groupings due to rounding of individual schools data. This applies to all totals in this document.

<b>Academy Growth Fund allocations Summer Term 2020</b>			
<b>Primary Academies</b>		<b>Planning Area</b>	<b>Funding £</b>
129	Roebuck Academy	Stevenage South East	40,276
743	The Orchard Primary School	Mid Watford	42,251
680	Little Reddings Primary School	Bushey	13,667
30	Hitchin Boys' School	Hitchin	76,906
31	Hitchin Girls' School	Hitchin	76,653
201	The Hertfordshire & Essex High School and Science College	Bishop's Stortford & Sawbridgeworth	34,210
402	St Albans Girls' School	St Albans	52,151
406	Beaumont School	St Albans	52,228
412	Samuel Ryder Academy	St Albans	46,067
442	Sandringham School	St Albans	123,294
510	Stanborough School	Welwyn Garden City	55,325
552	Onslow St Audreys School	Hatfield	59,250
553	Chancellor's School	Potters Bar	52,493
607	Hertswood Academy	Borehamwood	58,599
672	Bushey Meads School	Bushey	72,359
685	St Clement Danes School	Rickmansworth	69,318
690	Rickmansworth School	Rickmansworth	51,455
700	The Bushey Academy	Bushey	18,724
710	Watford Grammar School for Boys	Watford	58,317
711	Watford Grammar School for Girls	Watford	51,630
717	Westfield Academy	Watford	58,076
802	Longdean School	Hemel Hempstead	41,188
			<b>1,204,436</b>

## Infant Class Size Allocations 2020

SCH NO	SCHOOL NAME	DfE No	Category of School for ICS Funding	Planning Area	Primary Schools except 0.5 and 1.5 form entry £	1.5 form entry Schools £	0.5 form entry Schools £	Total Infant Class Size Allocations £
42	Purwell Primary	9192229	Primary	Hitchin South	5,625			5,625
63	Offley Endowed Primary School	9193357	Primary	Hitchin West			9,720	9,720
64	Cockernhoe CE Primary School	9193358	Primary	Hitchin West			9,720	9,720
68	St Paul's Walden Primary	9192101	Primary	The Waldens			9,720	9,720
69	Sandon JMI	9192105	Primary	Baldock Villages			12,312	12,312
72	Wymondley JMI	9192146	Primary	Hitchin Villages South			9,720	9,720
137	Longmeadow Primary	9193983	Primary	Stevenage South East		18,144		18,144
150	Moss Bury Primary School & Nursery	9192312	Primary	Stevenage North East B		16,848		16,848
194	Benington C of E Primary	9193009	Primary	Stevenage South East Villages			9,072	9,072
196	Graveley Primary	9193018	Primary	Stevenage North West			9,072	9,072
212	All Saints C. of E. Primary School and Nursery	9193393	Primary	Bishop's Stortford	3,750			3,750
237	Furneux Pelham C of E	9193016	Primary	Pelhams			12,960	12,960
239	Little Hadham Primary	9192073	Primary	Hadhams	24,375			24,375
254	Hertford St Andrews Cof E Primary	9193025	Primary	Hertford	26,250			26,250
261	Wheatcroft Primary	9192414	Primary	Hertford		9,720		9,720
262	Bayford (C of E) VC Primary	9193007	Primary	Hertford South Villages			12,960	12,960
288	Westfield Community Primary	9192314	Primary	Hoddesdon	5,625			5,625
297	Roselands Primary	9192429	Primary	Hoddesdon		9,072		9,072



305	Christ Church CofE (VA)SCHOOL	9193394	Primary	Ware Town		9,072		9,072
313	Larkspur Academy (was 'Tower Primary)	9192088	Primary	Ware Town			11,016	11,016
316	St. John the Baptist School	9193334	Primary	Ware Town	9,375			9,375
321	St Thomas of Canterbury School	9193367	Primary	Puckeridge			9,720	9,720
348	Churchfield C of E (VA) Primary School & Nursery	9192063	Primary	Turnford South		9,720		9,720
358	Holdbrook Primary	9192016	Primary	Waltham Cross	11,250			11,250
424	Park Street CofE Primary Sch	9193364	Primary	Park Street	13,125			13,125
434	Oakwood Primary	9192227	Primary	St Albans Central		9,720		9,720
453	Bowmansgreen Primary	9192166	Primary	London Colney		9,720		9,720
457	Mount Pleasant Lane Primary School	9192444	Primary	St Stephens		11,016		11,016
458	Sandridge School	9192106	Primary	Sandridge	5,625			5,625
470	Beech Hyde Primary and Nursery	9192086	Primary	Wheathampstead	9,375			9,375
484	Lea Primary (The)	9192412	Primary	Harpenden	13,125			13,125
486	St Nicholas CE VA Prim School	9193336	Primary	Harpenden	20,625			20,625
488	Sauncey Wood Primary	9192033	Primary	Harpenden	9,375			9,375
490	Roundwood Primary	9192203	Primary	Harpenden		9,720		9,720
505	St Michael's Woolmer Green School	9193378	Primary	Welwyn	11,250			11,250
536	Waterside Academy (previously Rowans Primary)	9192296	Primary	WGC East	1,875			1,875
560	Essendon C.of E. VC Primary	9193015	Primary	Essendon			11,016	11,016
561	St Johns VA CoE Primary School	9193339	Primary	WGC West			9,720	9,720
562	Ponsbourne St Mary's C of E VC Primary	9193020	Primary	Flamstead End Ridgeway East			9,720	9,720
564	Northaw CE Primary School	9195209	Primary	Goffs Oak Ridgeway South			10,368	10,368

574	Brookmans Park Primary School	9195210	Primary	Ridgeway West		9,072		9,072
575	Birchwood Avenue Primary	9192007	Primary	Hatfield		18,792		18,792
610	St Nicholas Elstree CE Primary School	9193333	Primary	Borehamwood	9,375			9,375
621	Parkside Community Primary	9195202	Primary	Borehamwood		18,792		18,792
654	St Giles Primary School	9195204	Primary	Potters Bar			9,720	9,720
657	Oakmere Primary	9192985	Primary	Potters Bar		13,608		13,608
680	Little Reddings Primary School	9195208	Primary	Bushey		18,792		18,792
682	SACRED HEART CATHOLIC PRIMARY SCHOOL	9193415	Primary	Bushey		9,720		9,720
703	Eastbury Farm JMI & Nursery	9192266	Primary	Moor Park		9,720		9,720
714	Lanchester Community Free School	9192049	Primary	Watford West		14,256		14,256
721	Bromet Primary	9192385	Primary	Oxhey North		12,960		12,960
768	Woodhall School	9192178	Primary	Oxhey South	7,500			7,500
770	Oxhey Wood Primary	9192137	Primary	Oxhey South		7,776		7,776
840	Chaulden Infants' and Nursery	9192193	Infant	Hemel Hempstead West		16,200		16,200
867	Aycliffe Drive Primary	9192426	Primary	Hemel Hempstead North East		12,312		12,312
908	Flamstead	9192031	Primary	Hemel Rural North			12,960	12,960
925	Aldbury C of E Primary	9193000	Primary	Tring Villages East			9,720	9,720
929	St Bartholomews C of E School	9193380	Primary	Tring Villages East			9,720	9,720
<b>Total Infant Class Size Allocation</b>								661,188
<b>Budget</b>								450,000

## Annex C

### Fund for Small Secondary Schools in Areas of Demographic Growth

DfE Number	School Number	Planning Area	School Name	Allocation £
9194117	247	Hertford and Ware	The Sele School	500,000
				<b>Total</b>
				<b>500,000</b>
				<b>Budget</b>
				<b>1,500,000</b>

## HERTFORDSHIRE GROWTH FUND 2020-21

### A. Growth in Pupil Numbers

This element provides additional funding to schools in the following circumstances:

- Where there is an increased Published Admission Number (PAN) determined at the request of the local authority through the annual admissions process, in order to meet basic need.
- Where there is a local agreement between a school and the authority for the school to take an increased number of pupils (which is outside the annual admissions process), in order to meet basic need.

#### (i) **Per Pupil Funding for September to March**

Additional funding is provided in these situations because the additional cohort of pupils starting in September is not recorded on the schools census in time to generate additional funding in the normal way. The funding provides a resource for the period September to March and assists schools to fund the consequential staff and resource implications of the additional pupils. It is allocated as a one off payment to schools agreeing to a temporary expansion, and allocated to schools expanding permanently for the number of years required for the expansion to work its way through all the year groups in the school (e.g. 7 years for a primary school and 5 years for a secondary school). The additional funding is calculated as follows:

#### **Primary Schools**

- a) When a school is required to appoint an additional full-time teacher as a result of the allocation of additional pupils and the planned increase in the size of the annual cohort at the school is 24 or less:

24 X AWPU (plus AEN uplifts calculated as per the budget shares  
plus London fringe where applicable)  
X 7/12

- b) When the planned increase in the size of the annual cohort at the school is greater than 24 or when a school is allocated additional pupils but can accommodate those within existing class organisation (i.e. it is not necessary to appoint an additional full time teacher as a result of the increase):

Planned increase in the size of the annual cohort at the school  
(e.g. increase in the Published Admission Number)  
X AWPU (plus AEN uplifts calculated as per the budget shares  
plus London fringe where applicable)  
X 7/12

- c) When the planned increase is a permanent half form entry the following criteria will apply:
  - i) In the years that additional teacher is required funding will be provided in accordance with part a) above.
  - ii) In years where an additional class can be accommodated in the existing class organization funding will be provided in accordance with part b) above.

## **Secondary Schools**

As the staffing structure of secondary schools is considerably different to that of primary schools, when the Local Authority has asked a secondary school to expand and increase the size of its annual cohort, funding will be provided for the agreed number of extra pupils as follows:

Planned increase in the size of the annual cohort at the school  
 X AWPU (plus AEN uplifts calculated as per the budget shares,  
                   plus London fringe where applicable)  
 X 7/12

### **When a bulge class leaves (primary or secondary)**

Where a bulge class is leaving a school, we normally fund the additional pupils in the bulge class for the summer term only to reflect the time the pupils will be in attendance and because funding would have been provided from the September in the year in which they were admitted. Having complied with the necessary processes, the authority would expect to apply to the DfE to make a pupil number variation to the budget shares of the schools affected. The variation will be calculated as follows:

The difference between the number of pupils in the bulge-class cohort and the school's PAN for the bulge-class year  
 X AWPU (plus AEN uplifts calculated as per the budget shares,  
                   plus London fringe where applicable)  
 X 7/12 for maintained schools or  
 X 12/12 for academies

## **Academies**

Academies are funded on an academic year basis, with allocations based on the previous October's pupil count. Therefore the time lag between an academy taking extra pupils and receiving the funding for them through its budget share is not 7 months as with a maintained school but 12 months. Therefore academies qualifying for expansion funding from the Growth Fund receive an additional allocation to cover the period April to August. This is calculated as 5/7 of the allocation for the previous September to March. (As the extra cost of this is a product of academy funding arrangements, it will be reimbursed to the authority by the DfE and will not be a charge to the Hertfordshire Schools Budget.)

## **(i) Permanent enlargements of primary schools to serve new housing developments**

The growth characteristics for a school enlarging permanently to meet demand from a major new housing development is different to a typical expansion that builds year on year from reception. The rate and scale of in-year growth is significant and may affect all year groups. Schools are expected to be flexible as numbers grow and may be required to run mixed year group classes. At KS1 class sizes will be limited in line with legislation but at KS2 schools will be expected to run larger classes (above 30) to meet demand. The Local Authority will monitor demand and pupil numbers and having considered relevant local circumstances will ask the school to open new classes, in any year group, at an appropriate rate to meet demand.

Growth funding will be provided for additional classes offered by the school at the request of the local authority that have not been taken into account in the pupil numbers used to calculate the school's budget share and will not be taken into account in any retrospective adjustment to that budget share. **Where schools have chosen of their own accord to admit above the agreed number growth funding will not apply.**

When the local authority requires an additional class to open during an academic year growth funding will be provided in line with brand new schools, section C, para b iii) enlargement, of the growth fund criteria.

In addition, the revenue protection factor which guarantees pupil funding for these additional classes of up to 24 or multiples thereof will apply until the class leaves the school or until a further class is opened in the cohort when protection funding will apply to the most recently opened class.

## **(ii) Revenue Protection Factor**

In addition there is a protection factor which guarantees pupil funding for expanding primary schools up to 24 or multiples thereof. This means, for example, that a school expanding from 1-2 f.e. would be guaranteed funding for a minimum of 48 pupils if the actual number of pupils fell below this level. At a time of rapidly rising rolls this protection factor is only likely to be activated in exceptional situations but schools consider it an important element when agreeing to expand or not.

Furthermore, for enlargements or over-allocations of a small number of pupils only, rather than run an additional class when the cohort moves into KS2, schools would generally be expected to run larger classes above 30, as agreed with the local authority.

In the case of temporary expansions, the classes with the "bulge" cohort will be protected at the target number throughout the cohort's time in the school. For permanent expansions the target number formula will also be applied to subsequent cohorts until the new PAN has worked its way through the school (i.e. for 7 years in the case of a primary school).

For schools that expand to one and a half forms of entry the protection funding will be calculated across two year groups (excluding reception) as schools will be expected to mix year groups.

It is not intended that this factor should provide a greater degree of protection to

expanding schools than they would have received if they had not expanded. Therefore, if the actual pupil numbers of the school fall to (or below) the pre expansion Published Admission Number (PAN) and it is agreed that thereafter the pre expansion PAN would apply; this protection funding would not be payable.

### **(iii) Learning Resources Allocation for Schools with One Year Bulge Classes**

In addition to allocations from the Growth Fund, expanding schools receive a capital allocation to purchase furniture and equipment for new classes. The allocation is £13k per reception class and £11k per class for older children.

### **Temporary Expansions, Schools with Primary Age Pupils**

Schools with primary age pupils who, at the authority's request, take one year temporary expansions (ie a one year bulge class) receive a one off capital allocation of £11k (plus £2k if this includes reception pupils). This does not take into account the need to acquire additional learning resources when the bulge class will remain at the school for 5 years or more. Also because it is a capital allocation, it cannot be used for revenue expenditure.

Schools taking a temporary bulge class for the first time, that need to open an additional classroom to accommodate the increase and will have the pupils in the school for 5 or more years (primary and first schools only) will therefore receive a one off £7k allocation from the Growth Fund. This will bring the total allocation for the first extra class in primary or first schools to £20k, comprising a Growth Fund allocation of £7k and a capital allocation of £13k.). This total can be used for furniture, equipment and learning resources as the class of pupils move through the school.

### **(iv) Leadership and Management Allocation for Primary Schools Permanently Expanding**

Primary, infant, junior, first and middle schools permanently expanding by 0.5 f.e or more will receive a one off £20k allocation from the Growth Fund to assist with the additional leadership and management costs involved in planning and delivering an expansion.

## **B. Additional Growth Fund Allocation - Secondary Schools/Academies becoming All Through**

### **Eligibility Criteria**

This element of the Growth Fund provides additional funding for transitional costs to secondary schools/academies which convert to become all through schools/ academies at the request of the County Council, in order to meet local basic need. This Growth Fund allocation will not be payable if a school/academy is in receipt of start-up funding or post-opening funding from either the Education and Skills Funding Agency or the County Council, as part of funding arrangements for new schools.

### **Allocations**

This element of the Growth Fund comprises the following allocations:

- a) Allocation in the financial year prior to the opening of the new primary unit: £12,000
- b) Allocation in the Summer term prior to the opening of the new primary unit: £48,000
- c) Allocation in the period from the date of opening of the primary unit to the end of the financial year: £39,000
- d) Allocation in the following financial year: £65,000

### **C. Brand new schools/academies**

Brand new schools have a range of revenue start-up costs of which the main elements are:

- a) **Start-up costs prior to opening** (e.g. the appointment of a headteacher and other key staff for a period prior to opening, such that they can prepare the school to open to pupils)
- b) **Post opening start-up costs**
  - Resources - a brand new school will need to purchase classroom materials and resources.
  - Diseconomies of scale and financial viability in the period after opening. The number of funded pupils may be low in the first years after opening and the fixed cost of leadership, management, premises and provision of places across many age groups will therefore be a disproportionately high proportion of a school's budget.
  - Enlargement – there is likely to be a requirement to fund additional classes established at the request of the local authority which are not included in the school budget share allocation.

### **Growth Fund allocation for start-up costs of brand-new schools and academies**

Allocations will be made to fund the start-up costs of brand new primary and secondary schools/ academies, which are established in response to basic need for pupil places.

### **Eligibility criteria**

Funding will be payable to brand new schools and academies with no predecessor school, which have been established at the request of the Authority to meet basic need for pupil places. This includes free schools which are being opened to meet the need for a new school as referred to in Section 6A of the Education Act 2011 but it does not include other free schools.

### **Allocation Formula**

- a) **Start-up funding pre-opening**  
A one off allocation of £100,000 for a primary school and £195,000 for a secondary school, generally paid one to two terms before the opening of the new school.



## **b) Post-opening funding**

### **i) Resources**

This would be calculated as £7,000 per 30 places created (excluding nursery and sixth form) – i.e. a 2f.e. primary school would create 420 places and attract £98,000 and a 6f.e. secondary school would create 900 places and would attract £210,000. This funding would be paid over the first three years that the school/academy is open, proportionate to the build-up of pupil numbers.

### **ii) Diseconomies and viability**

#### **Primary Schools**

Where a new primary school is required to provide places in multiple/all year groups from the date of opening, a protected minimum level of funding will apply for the first three years from the date of opening.

If the number of pupils funded in the school's budget share/general annual grant would otherwise be less than 24 x 4 classes, organised to meet the demand as agreed with the Local authority (classes may be straight year group or mixed age group that reflect the local need, then the funding will be adjusted so that the school receives pupil funding (AWPU plus AEN uplifts plus London fringe where applicable) for a minimum of 4 classes of 24 pupils. This will be pro rata if the school/academy is only open for a proportion of the funding period (i.e. academic year for academies, financial year for maintained schools) or if the third anniversary of opening falls before the end of the funding period.

#### **Secondary schools**

In line with advice from the DfE, it is assumed that new secondary schools will open in year 7 only. Ideally, opening should be planned to coincide with demand of approximately 5 fe, 150 pupils. Protection funding will apply as follows, for the first three years from the date of opening:

If the number of AWPUs funded in the school's budget share/General Annual Grant would be less than 150 x KS3 AWPUs (plus AEN uplifts calculated as per the budget shares, plus London fringe where applicable), then the funding will be adjusted so that the school receives AWPU funding for a minimum of 150 KS3 AWPUs. In addition, if the AWPU and lump sum funding for the school total less than £850k, an allocation will be made from the Growth Fund for the difference between the AWPU (plus AEN uplifts calculated as per the budget shares, plus London fringe where applicable) and lump sum funding and £850k. These protections will be pro rata if the school/academy is only open for a proportion of the funding period (i.e. academic year for academies, financial year for maintained schools) or if the third anniversary of opening falls before the end of the funding period.

The guaranteed minimum number of AWPUs may be delivered by basing the new secondary school's budget share on this number of pupils, rather than by making an allocation through the Growth Fund.

### iii) Enlargement

The growth characteristics for a school (new or existing) serving a major new housing development are different in that the rate and scale of in-year growth is significant and may affect all year groups. The Local Authority will monitor demand and pupil numbers and having considered relevant local circumstances will ask the school to open new classes, in any year group, at an appropriate rate to meet demand. Additional classes offered by the school at the request of the local authority, will be provided with growth funding. **Where a school has chosen to offer additional places of its own accord, growth funding will not apply.**

#### Enlargement, Primary Schools

Primary schools are expected to be flexible when growing to meet demand from new housing and may be required to run mixed year group classes. At KS1 class sizes will be limited to 30, in line with legislation. At KS2 schools will be expected to run larger classes (above 30) to meet demand. The Local Authority will monitor demand, pupil numbers and having considered relevant local circumstances will ask the school to open new classes, in any year group, at an appropriate rate to meet demand.

When the local authority requires an additional class to open during an academic year that has not been taken into account in the pupil numbers used to calculate the school's budget share and will not be taken into account in any retrospective adjustment to that budget share growth funding will be provided as follows:

- i) When a school is required to appoint an additional full-time teacher as a result of the allocation of additional pupils and the number of pupils in the additional class is 24 or less:

24 X AWPU (plus AEN uplifts calculated as per the budget shares plus London fringe where applicable)  
X pro rata for the period from the point of intake until the pupils are included in the school's budget share allocation.

- ii) When a school is required to appoint an additional full-time teacher as a result of the allocation of additional pupils and the number of pupils in the class is greater than 24:

Planned number of pupils in the additional class  
AWPU (plus AEN uplifts calculated as per the budget shares plus London fringe where applicable)  
X pro rata for the period from the point of intake until the pupils are included in the school's budget share allocation

In addition, there is a revenue protection factor which guarantees pupil funding for these additional classes of up to 24 or multiples thereof that will apply until the class leaves the school or until a further class is opened in the cohort when protection funding will apply to the most recently opened class.

#### Enlargement, Secondary Schools

When the Local Authority requires a secondary school to expand and increase the size of its annual cohort during an academic year that has not been taken into account in the pupil numbers used to calculate the school's budget share and will not be taken into account in any retrospective adjustment to that budget share, growth funding will be provided for the agreed number of extra pupils as follows:

Planned increase in the size of the annual cohort at the school  
X AWPU (plus AEN uplifts calculated as per the budget shares,  
plus London fringe where applicable)  
X pro rata for the period from the point of intake until the pupils are included in the school's budget share allocation

#### **D. Infant Class Size (ICS) Protection**

The School Admissions Regulations require that (with certain exceptions) reception/key stage 1 classes should not be larger than 30 pupils. The Growth Fund provides allocations to support schools in delivering the infant class size requirement, covering the following groups of schools:

- Primary Schools except 1.5 and 0.5 Form Entry Schools and Small Schools
- 0.5 Form Entry Schools
- 1.5 Form Entry Schools

##### **(a) Primary schools except 0.5 and 1.5 form entry schools and small schools**

This element of the infant class size factor focuses on schools with non-standard PANs or large numbers of vacancies, which may face particular challenges in complying with the infant class size requirement.

Eligible schools are:

- Schools with high levels of vacancies - defined as where the total reception and KS1 number (i.e. three year groups) on roll at the October census prior to the start of the financial year is less than 80% of the PAN multiplied by 3.
- Schools with non-standard PANs - defined as those with a PAN of 21 to 22 or 51 to 52.

Schools eligible for the separate 1.5 fe or 0.5 fe elements of ICS funding are not also eligible for this element. Schools with fewer than 90 pupils on roll do not qualify for ICS funding as it would be assumed their class size issues are the product of being small schools.

The allocation formula is as follows:

Calculate the difference between the number of classes required (Reception & KS1 pupil numbers/30 and rounded up) and the number of classes notionally

funded (Reception & KS1 pupil numbers/24).

Where the number of classes required exceeds the number of classes notionally funded, take the difference between the two and multiply by £45,000; which is the approximate cost of a teacher on the pay grade MPS6.

Example:

	<b>R/KS1 pupil number</b>	<b>Classes required</b>	<b>Classes funded (Pupil Numbers divided by</b>	<b>Additional class required</b>	<b>Additional funding at £45,000 per Additional Class</b>
<b>School A</b>	91	4	3.79	0.21	£9,375
<b>School B</b>	151	6	6.29	0	£0

#### a) Small Schools

Schools with 90 or fewer pupils are not eligible for ICS funding. Small schools will not have more than 30 pupils in a year group and there is a general expectation (for example, by parents) that they will vertically group classes, depending on numbers, which gives small schools more flexibility in this area.

#### b) 0.5 Form Entry Schools

The definition of a 0.5 form entry school is a school with Reception pupil numbers between 10 and 17 (inclusive) and Key Stage 1 numbers of between 20 and 34 (inclusive). The position of a 0.5 form entry (FE) school is similar to that of a 1.5 form entry (FE) school, in that each is 15 pupils short of full reception class.

The funding formula for 1.5 FE schools is also applied to 0.5 FE schools. Funding is allocated using a ghost pupil approach based on Reception pupil numbers only. Under this formula the school attracts funding based on the number of ghost pupils, which is the difference between the number of reception pupils and 30. Funding is allocated at £648 per ghost pupil, based on the number of ghosts implied by the pupil numbers from the October 2019 census.

Example:

	<b>Reception Pupil Number</b>	<b>30 less reception pupil number (ghost pupils)</b>	<b>Funding at £648 per ghost pupil</b>
<b>School C</b>	15	15	£9,720

#### c) 1.5 Form Entry Schools

These schools face the practical difficulty that while it is possible to identify 3 mixed age classes in Key Stage 1, it is difficult to provide a sustainable class structure for the Reception year group.

Funding is allocated using a ghost pupil approach based on Reception pupil numbers only. The number of ghosts is the difference between the number of Reception pupils and the next multiple of 30 (i.e. 45 Reception pupils = 15 ghosts). Funding is allocated at £648 per ghost pupil, based on the number of ghosts implied by the pupil numbers from the October 2019 census.

The definition of a 1.5 FE entry school for Infant Class Size funding purposes is a school with between 30 and 50 (exclusive) reception pupils and between 72 and 92 (inclusive) KS1 pupils.

October 2019 pupil numbers will be used to calculate the ICS funding in 2020-21.

#### Interaction of Infant Class Size Protection and Expansion Funding

It is not intended that a school should separately attract both Growth in Pupil Numbers funding and ICS protection funding. Therefore, with the exception of schools that expand to one and a half forms of entry, schools eligible for funding through the Growth in Pupil Numbers element of the Growth Fund will not also receive ICS funding.

Schools that expand to one and a half forms of entry will receive both Growth in Pupil Numbers funding and ICS protection funding from the year after the expansion started.