

Customer Services

ANNUAL STATISTICAL REPORT SCHOOL ADMISSION AND EXCLUSION APPEALS SEPTEMBER 2014 TO AUGUST 2015

SCHOOL ADMISSION APPEALS

- The following statistics include statutory appeals for community and voluntary-controlled schools, plus Academies, voluntary-aided and foundation schools for whom Customer Service provide a clerking service.

The number of appeals lodged differs from the number of appeals heard. This is because some do not proceed to a hearing either because a place is offered at their preferred school, or parents withdraw their appeal.

Primary reception and In Year appeals for the 2014/15 school year - Community and Voluntary Controlled schools

- The statistics below show the Reception and In-Year appeals separately. ICS relates to those subject to the 30 infant class size limit legislation. (In-Year appeals are for any age group and are outside the Reception 2014/15 process).

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld 1	2	* Upheld A	B	Rejected	Offered a place by LA	Appeal withdrawn by parents
North									
Reception	10	5	2	2	0	0	1	2	3
Reception ICS	63	32	0	0	0	1	31	6	25
In-Year	42	31	3	15	0	0	13	7	4
In-Year ICS	27	17	0	0	1	0	16	5	5
Total	142	85	5	17	1	1	61	20	37
East									
Reception	4	2	0	2	0	0	0	2	0
Reception ICS	90	50	0	0	1	4	45	13	27
In-Year	44	32	0	13	0	0	19	9	3
In-Year ICS	46	35	0	0	1	0	34	6	5
Total	184	119	0	15	2	4	98	30	35
South									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	88	53	0	0	2	0	51	17	18
In-Year	74	51	1	16	0	0	34	7	16
In-Year ICS	46	26	0	0	1	0	25	13	7
Total	208	130	1	16	3	0	110	37	41

Primary reception and In Year 2014/15 - Community and Voluntary Controlled schools (continued)

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld		* Upheld		Rejected	Offered a place by LA	Appeal withdrawn by parents
			1	2	A	B			
West									
Reception	1	1	0	0	0	0	1	0	0
Reception ICS	75	45	0	0	0	1	44	13	17
In-Year	50	28	0	16	0	0	12	10	12
In-Year ICS	23	13	0	0	0	0	13	6	4
Total	149	87	0	16	0	1	70	29	33
GRAND TOTAL	683	421	6	64	6	6	339	116	146

*Upheld 1: The case was NOT proved that to admit more children would “prejudice efficient education or the efficient use of resources” at the school.

*Upheld 2: The circumstances of the parental case were so exceptional as to outweigh the “prejudice” to the school.

*Upheld A: That the child would have been offered a place if the school admission arrangements had been properly implemented and/or did not breach the mandatory provisions of the School Admissions Code and SSFA 1998.

*Upheld B: The decision to refuse admission was NOT one which a reasonable admission authority would have made in the circumstances of the case.

Separating these figures into Reception and in-year the breakdown is:

	<u>Reception</u>	<u>In-Year</u>
Appeals lodged	331	352
Appeals heard	188	233
Appeals upheld	15	67
Appeals rejected	173	166
Appeals offered places	53	63
Appeals withdrawn	90	56
Percentage upheld	8%	29%

Of the 421 appeals heard for 2014/15 the overall percentage of appeals upheld is 19.4%

Trends (Reception and In-Year appeals combined)

Year	Heard	Percentage upheld
2014/15	421	19%
2013/14	486	16%
2012/13	608	14%
2011/12	548	12%
2010/11	569	9%
2009/10	497	11%
2008/09	613	13%
2007/08	563	18%

Primary reception and In Year appeals for the 2014/15 school year – Voluntary Aided Schools. (ICS relates to those subject to the 30 class size limit legislation)

3. The statistics below show the Reception and In-Year appeals separately received for Voluntary Aided schools that have opted to use the central clerking service. (In-Year appeals are for any age group and are outside the Reception 2014/15 process).

Appeal Panel Area	Appeals Lodged	Appeals Heard	* Upheld 1	* Upheld 2	* Upheld A	* Upheld B	Rejected	Offered a place	Withdrawn by parents
North									
Reception	1	0	0	0	0	0	0	1	0
Reception ICS	9	6	0	0	0	0	6	1	2
In-Year	0	0	0	0	0	0	0	0	0
In-Year ICS	3	3	0	0	1	0	2	0	0
Total	13	9	9	9	1	0	8	2	2
East									
Reception	1	1	0	0	0	0	1	0	0
Reception ICS	27	17	0	0	0	0	17	4	6
In-Year	4	2	1	0	0	0	1	2	0
In-Year ICS	1	0	0	0	0	0	0	1	0
Total	33	20	1	0	0	0	19	7	6
South									
Reception	1	0	0	0	0	0	0	1	0
Reception ICS	21	16	0	0	0	0	16	1	4
In-Year	1	1	0	0	0	0	1	0	0
In-Year ICS	1	1	0	0	0	0	1	0	0
Total	24	18	0	0	0	0	18	2	4
West									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	21	11	0	0	3	0	8	4	6
In-Year	5	0	0	0	0	0	0	3	2
In-Year ICS	2	1	0	0	0	0	1	0	1
Total	28	12	0	0	3	0	9	7	9
GRAND TOTAL	98	59	1	0	4	0	54	18	21

Primary reception and In Year appeals for Foundation Schools that had opted to use the central clerking service in 2014/15

4. The statistics below show the Reception and In-Year appeals separately received for Foundation schools that have opted to use the central clerking service. (All appeals were subject to the 30 ICS legislation).

	Appeals Lodged	Appeals Heard	* Upheld 1	* Upheld 2	* Upheld A	* Upheld B	Rejected	Offered a place	Withdrawn by parents
Reception ICS	3	1	0	0	0	0	1	2	0
In-Year	7	4	0	4	0	0	0	1	2
Total	10	5	0	4	0	0	1	3	2

Trends (Reception and In-Year appeals combined for Voluntary aided and Foundation schools).

Year	Heard	Percentage upheld
2014/15	64	14%
2013/14	80	10%
2012/13	91	15%
2011/12	84	17%
2010/11	106	21%
2009/10	84	24%
2008/09	129	22%
2007/08	29	41%

- *Upheld 1: The case was NOT proved that to admit more children would "prejudice efficient education or the efficient use of resources" at the school.
- *Upheld 2: The circumstances of the parental case were so exceptional as to outweigh the "prejudice" to the school.
- *Upheld A: That the child would have been offered a place if the school admission arrangements had been properly implemented and/or did not breach mandatory provisions in the School Admissions Code and the SSFA 1998.
- *Upheld B: The decision to refuse admission was NOT one which a reasonable admission authority would have made in the circumstances of the case.

Reception appeals for 2015/2016 school year - Community and Voluntary Controlled schools

5. The statistics shown below only show Reception appeals, as In-Year appeals will continue to be heard until the end of the 2015/2016 school year. The statistics for those will therefore be shown in the 2015/16 annual report. ICS relates to those subject to the 30 class size limit legislation.

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld				Rejected	Offered a place by LA	Appeal withdrawn by parents
			1	2	A	B			
North									
Reception	6	4	1	1	0	0	2	2	0
Reception ICS	63	41	0	0	0	0	41	7	15
East									
Reception	5	3	0	1	0	0	2	2	0
Reception ICS	112	68	0	0	1	1	66	12	32
South									
Reception	0	0	0	0	0	0	0	0	0
Reception ICS	122	80	0	0	1	1	78	13	29
West									
Reception	2	1	1	0	0	0	0	0	1
Reception ICS	75	45	0	0	0	1	44	13	17
TOTAL	385	242	2	2	2	3	233	49	94

Trends (Primary Reception appeals only)

Year	Heard	Percentage upheld
2015/16	242	3%
2014/15	186	8%
2013/14	202	3%
2012/13	332	3%
2011/12	314	3.5%
2010/11	349	2%
2009/10	320	4%
2008/09	397	5%
2007/08	329	7%

6. The statistics below show the number of Reception appeals received for the voluntary-aided schools that opted to use the central clerking service.

Primary reception appeals for 2015/16 (al subject to ICS legislation – Voluntary Aided schools)

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld A	B	Rejected	Offered a place	Withdrawn by parents
North							
Reception ICS	7	4	0	0	4	0	3
East							
Reception ICS	19	18	1	0	17	1	0
South							
Reception ICS	21	16	0	0	16	1	4
West							
Reception ICS	16	8	0	0	8	2	6
Total	63	46	1	0	45	4	13

Trends

Year	Heard	Percentage upheld
2015/16	46	2%
2014/15	51	6%
2013/14	54	5%
2012/13	65	5%
2011/12	62	14.5%
2010/11	75	13%
2009/10	53	21%
2008/09	99	17%

There were no primary reception appeals for Foundation Schools that had opted to use the central clerking service in 2015/16

Primary reception appeals for 2015/16 (all subject to ICS legislation) – Academies

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld A	B	Rejected	Offered a place	Withdrawn by parents
North							
Reception ICS	5	3	0	0	3	2	0
East							
Reception ICS	11	8	0	0	8	1	2
South							
Reception ICS	6	3	0	0	3	2	1
West							
Reception ICS	9	5	0	0	5	2	2
Total	31	19	0	0	19	7	5

Trends

Year	Heard	Percentage upheld
2015/16	19	0%
2014/15	14	7%
2013/14	12	0%
2012/13	6	0%

7. The statistics below show the number of appeals for Junior and Middle schools administered by the Customer Service Team.

Entry to junior and in-year appeals for 2014/15 – all schools who use the central clerking service

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld 1	2	Rejected	Offered a place	Withdrawn by parents
North							
Entry to	4	2	0	2	0	1	1
In-year	8	5	0	2	3	3	0
East							
Entry to	0	0	0	0	0	0	0
In-year	0	0	0	0	0	0	0
South							
Entry to	10	8	0	4	4	2	0
In-year	45	37	0	10	27	5	3
West							
Entry to	4	3	1	2	0	1	0
In-year	13	8	0	5	3	4	1
Total	84	63	1	25	37	16	5

Entry to middle and in-year appeals for 2014/15 – all schools who use the central clerking service

Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Withdrawn by parents
		1	2			
6	3	0	1	2	0	3

In-Year secondary appeals for 2014/15 school year - Community and Voluntary Controlled schools

8. The number of In Year secondary appeals for the 2014/15 school year and outcomes are set out below. (In-Year appeals are for any age group and are outside the secondary transfer process). Secondary transfer appeals for the 2014/15 school year were included in the 2013/14 report.

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Withdrawn by parents
			1	2			
North	20	16	0	11	5	2	2
East	0	0	0	0	0	0	0
South	0	0	0	0	0	0	0
West	8	6	0	3	3	1	1
TOTAL	28	22	0	14	8	3	3

* Upheld 1: The case was not proved that to admit more children would prejudice efficient education or the efficient use of resources.

* Upheld 2: The circumstances of the parental case were sufficient to outweigh the prejudice arguments.

Trends

Year	Heard	Percentage upheld
2014/15	22	64%
2013/14	9	44%
2012/13	44	61%
2011/12	54	42%
2010/11	141	42.5%
2009/10	199	53%
2008/09	237	51%
2007/08	281	53%

In Year secondary appeals for 2014/2015 school year for Foundation Schools:

Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Withdrawn by parents
		1	2			
5	3	0	1	2	1	1

In Year secondary appeals for 2014/2015 school year for VA Schools:

Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Withdrawn by parents
		1	2			
2	0	0	0	0	2	0

9. Customer Service offers a traded clerking service to Academies, which most opt to purchase. The number of In Year secondary appeals for Academies for 2014/15 and outcomes are set out in the table below.

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Withdrawn by parents
			1	2			
North	16	11	1	5	5	4	1
East	38	19	1	10	8	13	6
South	47	30	1	7	22	11	6
West	60	38	2	17	19	13	9
TOTAL	161	98	5	39	54	41	22

Trends

Year	Heard	Percentage upheld
2014/15	98	45%
2013/14	145	37%

Secondary transfer appeals for 2015/2016 school year - Community and Voluntary Controlled schools

10. These are the figures for secondary transfer September 2015.

Appeal Panel Areas	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place by LA	Appeal withdrawn by parents
			1	2			
North							
The Barclay School	1	0	0	0	0	1	0
Marriotts School	1	0	0	0	0	1	0
The Highfield School	18	11	0	1	10	3	4
The Nobel School	22	20	0	4	16	0	2
The Priory School	20	16	0	10	6	3	1
Sub-total	62	47	0	15	32	8	7
East							
Sir Frederic Osborn	1	0	0	0	0	1	0
Turnford	1	0	0	0	0	1	0
Sub-total	2	0	0	0	0	2	0
West							
Cavendish	1	0	0	0	0	1	0
The Hemel Hempstead	9	7	0	1	6	0	2
Sub total	10	7	0	1	6	1	2
South	There were no secondary transfer appeals in this area						
Grand Total	74	54	0	16	38	11	9

* Upheld 1: The case was not proved that to admit more children would prejudice efficient education or the efficient use of resources.

* Upheld 2: The circumstances of the parental case were sufficient to outweigh the prejudice arguments.

Trends

Year	Lodged	Heard	Percentage upheld
2015	74	54	30%
2014	50	34	21%
2013	46	26	23%
2012	106	68	28%
2011	211	107	29%
2010	396	243	27%
2009	497	298	37%
2008	547	356	28%

11. Customer Service has provided a clerking service to the following schools.

Secondary transfer appeals for 2015/16 school year – Foundation schools

School	Appeals lodged	Appeals heard	*Upheld		Rejected	Offered a place	Withdrawn by parents
			1	2			
Chancellor's	5	3	0	1	2	2	0
Ashlyns	12	3	0	2	1	7	2
Herts and Essex	18	16	0	4	12	0	2
Grand Total	35	22	0	7	15	9	4

Trends

Year	Heard	Percentage upheld
2015/16	22	32%
2014/15	17	12%
2013/14	30	33%
2012/13	59	30%
2011/12	121	27%
2010/11	137	32%
2009/10	179	7%

Secondary transfer appeals for 2015/16 school year - Voluntary-aided schools

School	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place	Withdrawn by parents
			1	2			
St Mary's Catholic	16	8	0	2	6	3	5
Townsend C of E	1	0	0	0	0	1	0
TOTAL	17	8	0	2	6	4	5

Trends

Year	Heard	Percentage upheld
2015/16	8	25%
2014/15	5	20%
2013/14	3	33%
2012/13	1	0%
2011/12	92	12%
2010/11	183	7%
2009/10	301	7%

Secondary transfer appeals for 2015/16 school year - Academies

School	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place	Withdrawn by parents
			1	2			
Beaumont	6	6	0	0	6	0	0
Birchwood High	7	2	2	0	0	4	1
Broxbourne	0	4	4	0	0	4	1
Bushey Meads	31	20	0	2	18	5	6
The Chauncy School	1	0	0	0	0	0	1
Dame Alice Owen	13	13	0	5	8	0	0
Freman College	5	3	3	0	0	2	0
Goffs	10	6	0	3	3	3	1
Hitchin Boys'	30	19	0	2	17	4	7
Hitchin Girls'	36	27	0	3	24	3	6
John Henry Newman	22	18	0	0	18	1	3
The John Warner	7	6	0	1	5	1	0
Kings Langley	8	4	0	2	2	3	1
The Knights Templar	19	13	0	1	12	0	6
The Leventhorpe	11	9	0	2	7	2	0
Longdean	4	0	0	0	0	4	0
Loreto	6	4	0	3	1	2	0
Marlborough School	7	2	0	2	0	5	0
Monks Walk	24	11	0	3	8	4	9
Parmiter's	36	28	0	2	26	4	4
Presdales	20	12	0	1	11	5	3
Queens	45	31	0	2	29	8	6
Richard Hale School	13	0	0	0	0	10	3
Rickmansworth	38	20	0	2	18	8	10
Roundwood Park	36	18	0	14	4	9	9
Sandringham	20	12	0	5	7	2	6
Simon Balle School	12	8	0	4	4	1	3
Sir John Lawes	30	18	1	7	10	4	8
St Albans Girls	21	13	0	2	11	6	2
St Clement Danes	22	20	0	0	20	0	2
St George's School	26	19	0	4	15	0	7

Secondary transfer appeals for 2015/16 school year – Academies (continued)

School	Appeals Lodged	Appeals Heard	* Upheld		Rejected	Offered a place	Withdrawn by parents
			1	2			
St Michael's Catholic High	12	9	0	2	7	0	3
Stanborough	5	2	0	1	1	2	1
Tring	33	27	0	2	25	1	5
Verulam	33	14	0	0	14	8	11
Watford Grammar for Boys	21	17	0	0	17	2	2
Watford Grammar for Girls	32	25	0	4	21	1	6
Westfield College	1	0	0	0	0	1	0
Yavneh	43	32	0	3	29	1	10
TOTAL	755	492	10	84	398	120	143

Trends

Year	Heard	Percentage upheld
2015/16	492	19%
2014/15	576	18%
2013/14	435	17%
2012/13	418	20%

Ethnic monitoring data for admission appeals in 2014/15 school year

12. The statistics for admission appeals for all schools by ethnicity are as below, which include only those parents who completed the monitoring form.

Ethnic Group	Appeals Lodged	Appeals Heard	* Upheld		* Upheld		Rejected	Offered a place	Withdrawn by parents
			1	2	A	B			
White									
British	893	553	15	162	6	4	366	159	181
Gypsy/Roma	8	8	0	3	0	0	5	0	0
Irish	9	7	0	2	1	0	4	2	0
Italian	17	12	0	3	0	0	9	3	2
Traveller from Irish	5	3	0	0	0	0	3	2	0
Turkish	8	3	0	0	0	0	3	2	3
Any other White	121	82	2	14	0	0	66	19	20
Mixed									
White and Asian	33	18	0	3	0	0	15	7	8
White and Black African	17	10	0	1	0	0	9	5	2
White and Black Caribbean	30	23	0	3	0	0	20	4	3
Any other Mixed	39	22	0	4	0	0	18	11	6
Asian and Asian British									
Bangladeshi	21	18	0	1	0	1	16	2	1

Indian	127	88	0	15	0	0	73	17	22
Ethnic Group	Appeals Lodged	Appeals Heard	* Upheld 1	2	* Upheld A	B	Rejected	Offered a place	Withdrawn by parents
Pakistan	66	49	0	3	0	0	46	8	9
Any other Asian background	72	52	0	5	0	0	47	7	13
Black Or Black British									
African	55	36	0	6	0	0	30	6	13
Caribbean	15	11	0	1	1	0	9	3	1
Any other Black background	17	14	0	3	0	0	11	0	3
Chinese	6	4	0	0	0	0	4	1	1
Any other Ethnic Group	27	23	1	1	0	0	21	3	1
Information not obtained	485	322	5	39	3	1	274	64	99
TOTAL OVERALL	2071	1358	23	269	11	6	1049	325	388

Percentage of appeals heard upheld in parents favour

Ethnic Group	%	Ethnic Group	%
White		Asian & Asian British	
British	34	Indian	17
Gypsy/Roma	37	Bangladeshi	11
Italian	25	Pakistani	61
Irish	43	Any other Asian Bgd	10
Turkish	0	Black or Black British	
Traveller from Irish heritage	0	African	17
Any other White Bgd	19	Caribbean	18
Mixed		Any other Black Bgd	21
White & Bk Caribbean	13	Chinese	0
White & Asian	7	Any other ethnic group	9
White Bk African	10	Info not obtained	15
Any other mixed Bgd	18	OVERALL	23

Overall volume of school admission appeals heard for school year 2014/15.

13. This chart shows the volume increase or decrease over the previous years.

School year total	Increase over previous year	Decrease over previous year
2014/2015 (1306)		15%
2013/2014 (1544)		0.13%
2012/2013 (1546)	22%	
2011/2012 (1265)		18%
2010/2011 (1549)		9%
2009/2010 (1685)		2%
2008/2009 (1720)	11%	
2007/2008 (1545)	6%	
2006/2007 (1463)		17%
2005/2006 (1771)		4%

2004/2005 (1852)		3%
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(These figures include the secondary transfer and primary reception appeals for the respective school years).

The actual difference in number overall was a decrease of 238 appeals.

Secondary transfer appeals administered by Customer Service for the 2015/16 school year, heard in the summer term 2015, were 9% down on those for secondary transfer 2014. The actual difference was a decrease of 56 appeals. Primary Reception appeals administered by Customer Service for the 2015/16 school year, heard in the summer term 2015, were 24% up on those for primary reception 2014/15. The actual difference was an increase of 61 appeals.

SCHOOL EXCLUSION REVIEWS

School Exclusion Reviews – all schools and academies

Following a review there are three options open to the panel: to uphold the decision to exclude; recommend that the governing body reconsiders its decision, or quash the decision and direct that the governing body considers the exclusion again. The final option imposes a £4,000 fine if the governing body does not offer to reinstate the pupil within 10 days of being notified of the review panel's decision.

14. **In 2014/2015 there were 5 applications for review lodged by parents against the permanent exclusion of their child from a Hertfordshire school/academy, all of which were considered by an independent review panel. The panel decided in three cases to uphold the decision to exclude but in the other two cases the panel concluded that the decision to exclude the pupil was so flawed that they quashed the decision and directed that the governing body consider the exclusion again.**

Exclusion reviews

Year	Number of Reviews	Decision to Uphold exclusion	Decision to Recommend reconsideration	Decision to Quash and direct reconsideration	Withdrawn
2014/15	5	3	0	2	0
2013/14	5	3	0	1	1
2012/13	3	1	1	1	0

Ethnic monitoring data for exclusion appeals in 2014/15 school year

15. The statistics for exclusion reviews in 2014/15 for all schools and academies by ethnicity are as below.

Ethnic Group	Number of Reviews	Decision to Uphold exclusion	Decision to Recommend reconsideration	Decision to Quash and direct reconsideration	Withdrawn
White					
British	2	2	0	0	0
Any other White background	1	0	0	1	0

Any other Ethnic Group	1	0	0	1	0
Information not obtained	1	1	0	0	0
TOTAL	5	3	0	2	0

Trends - in previous years for Exclusion Appeals

Year	Number of Appeals	Upheld for parents	Rejected	Withdrawn	% successful appeals
2011/12	6	2	4	0	33
2010/11	7	1	5	1	14
2009/10	11	7	4	0	64
2008/09	11	3	7	0	27
2007/08	13	2	5	6	28
2006/07	24	5	17	2	23
2005/06	32	12	17	3	41

September 2015