

## CUSTOMER SERVICES – SCHOOL APPEALS

### ANNUAL STATISTICAL REPORT SCHOOL ADMISSION, TRANSPORT AND EXCLUSION APPEALS SEPTEMBER 2018 TO AUGUST 2019 SCHOOL ADMISSION APPEALS

Applicants can lodge appeals for any school they have not been awarded a place in, but not all such appeals reach the stage of being heard by an appeals panel. This is because either a place is offered at their preferred school, or parents withdraw their appeal. The heard rate is therefore a better measure of the appeal rate.

The statistics in this report include statutory appeals for Community and Voluntary Controlled schools, plus Academies, Free, Voluntary-aided and Foundation schools for whom Customer Service provide a clerking service.

An Independent Appeal Panel's decision to uphold an appeal will fall into one of the following:

- Upheld 1:** The case was NOT proved that to admit more children would “prejudice efficient education or the efficient use of resources” at the school;
- Upheld 2:** The circumstances of the parental case were so exceptional as to outweigh the “prejudice” to the school;
- Upheld A:** That the child would have been offered a place if the school admission arrangements had been properly implemented and/or did not breach the mandatory provisions of the School Admissions Code and SSFA 1998;
- Upheld B:** The decision to refuse admission was NOT one which a reasonable admission authority would have made in the circumstances of the case.

## PRIMARY SCHOOLS – 2018/19

### 1. Primary reception and In-Year appeals for the 2018/19 school year

The following statistics show the Reception and In-Year appeals separately for each school type. ICS relates to those subject to the 30 infant class size limit legislation. In Year appeals are for any age group and are outside the Reception 2018/19 process.

**1.1 Community and Voluntary Controlled Primary Schools  
ACADEMIC YEAR 2018/19**

| Appeals panel areas | Appeals lodged | Appeals Heard | Upheld   |           |          |          | Rejected  | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
|                     |                |               | 1        | 2         | A        | B        |           |                 |                             |
| <b>North</b>        |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 29             | 21            | 0        | 0         | 0        | 0        | 21        | 5               | 3                           |
| In-Year             | 56             | 38            | 0        | 14        | 0        | 0        | 22        | 11              | 9                           |
| In-Year ICS         | 45             | 23            | 0        | 1         | 0        | 1        | 20        | 13              | 9                           |
| <b>Total</b>        | <b>130</b>     | <b>82</b>     | <b>0</b> | <b>15</b> | <b>0</b> | <b>1</b> | <b>63</b> | <b>29</b>       | <b>21</b>                   |
| <b>East</b>         |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 66             | 37            | 0        | 0         | 0        | 0        | 37        | 6               | 23                          |
| In-Year             | 88             | 40            | 0        | 14        | 0        | 0        | 27        | 28              | 20                          |
| In-Year ICS         | 26             | 12            | 0        | 0         | 0        | 0        | 11        | 9               | 5                           |
| <b>Total</b>        | <b>180</b>     | <b>89</b>     | <b>0</b> | <b>14</b> | <b>0</b> | <b>0</b> | <b>75</b> | <b>43</b>       | <b>48</b>                   |
| <b>South</b>        |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 48             | 26            | 0        | 0         | 0        | 1        | 25        | 11              | 11                          |
| In-Year             | 50             | 23            | 0        | 6         | 0        | 0        | 17        | 15              | 12                          |
| In-Year ICS         | 37             | 20            | 0        | 0         | 0        | 0        | 19        | 11              | 8                           |
| <b>Total</b>        | <b>135</b>     | <b>69</b>     | <b>0</b> | <b>6</b>  | <b>0</b> | <b>1</b> | <b>61</b> | <b>37</b>       | <b>31</b>                   |
| <b>West</b>         |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 49             | 19            | 0        | 0         | 0        | 1        | 18        | 16              | 14                          |
| In-Year             | 69             | 31            | 1        | 7         | 0        | 0        | 20        | 17              | 23                          |
| In-Year ICS         | 48             | 24            | 0        | 2         | 0        | 0        | 21        | 12              | 13                          |
| <b>Total</b>        | <b>166</b>     | <b>74</b>     | <b>1</b> | <b>9</b>  | <b>0</b> | <b>1</b> | <b>59</b> | <b>45</b>       | <b>50</b>                   |

**For Community and Voluntary Controlled Primary Schools  
ACADEMIC YEAR 2018/19**

| <b>OVERALL</b>             |        |
|----------------------------|--------|
| Total heard                | 314    |
| Total upheld               | 48     |
| Percentage of total upheld | 15.29% |

| <i>Where ICS legislation does not apply:</i> |   |
|----------------------------------------------|---|
| Reception heard                              | 0 |
| Reception upheld                             | 0 |
| Percentage of total upheld                   | 0 |

| <i>Where ICS legislation does apply</i> |       |
|-----------------------------------------|-------|
| Reception ICS                           | 103   |
| Reception ICS upheld                    | 2     |
| Percentage of total upheld              | 1.94% |

| <i>Where ICS legislation does not apply</i> |        |
|---------------------------------------------|--------|
| In year                                     | 132    |
| In year upheld                              | 42     |
| Percentage of total upheld                  | 31.82% |

| <i>Where ICS legislation does apply</i> |       |
|-----------------------------------------|-------|
| In Year ICS                             | 79    |
| In Year ICS upheld                      | 4     |
| Percentage of total upheld              | 5.06% |

**Trends**

**Percentage of upheld appeals overall for Community and Voluntary Controlled Primary Schools**

|                |            |
|----------------|------------|
| <b>2013-14</b> | <b>16%</b> |
| <b>2014-15</b> | <b>19%</b> |
| <b>2015-16</b> | <b>12%</b> |
| <b>2016-17</b> | <b>13%</b> |
| <b>2017-18</b> | <b>15%</b> |
| <b>2018-19</b> | <b>15%</b> |

## 1.2 Voluntary Aided Primary Schools ACADEMIC YEAR 2018/19

The statistics below show the Reception and In-Year appeals separately received for Voluntary Aided schools that have opted to use the central clerking service.

| Appeals panel areas | Appeals lodged | Appeals Heard | Upheld   |           |          |          | Rejected  | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
|                     |                |               | 1        | 2         | A        | B        |           |                 |                             |
| <b>North</b>        |                |               |          |           |          |          |           |                 |                             |
| Reception           | 11             | 9             | 0        | 9         | 0        | 0        | 0         | 0               | 2                           |
| Reception ICS       | 7              | 6             | 0        | 0         | 0        | 0        | 6         | 0               | 1                           |
| In-Year             | 4              | 2             | 0        | 1         | 0        | 0        | 1         | 1               | 1                           |
| In-Year ICS         | 5              | 4             | 0        | 0         | 0        | 0        | 4         | 0               | 1                           |
| <b>Total</b>        | <b>27</b>      | <b>21</b>     | <b>0</b> | <b>10</b> | <b>0</b> | <b>0</b> | <b>11</b> | <b>1</b>        | <b>5</b>                    |
| <b>East</b>         |                |               |          |           |          |          |           |                 |                             |
| Reception           | 1              | 1             | 0        | 0         | 0        | 0        | 1         | 0               | 0                           |
| Reception ICS       | 9              | 5             | 0        | 0         | 0        | 0        | 5         | 3               | 1                           |
| In Year             | 19             | 8             | 0        | 2         | 0        | 0        | 6         | 4               | 7                           |
| In-Year ICS         | 8              | 4             | 0        | 0         | 0        | 0        | 4         | 1               | 3                           |
| <b>Total</b>        | <b>37</b>      | <b>18</b>     | <b>0</b> | <b>2</b>  | <b>0</b> | <b>0</b> | <b>16</b> | <b>8</b>        | <b>11</b>                   |
| <b>South</b>        |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 10             | 9             | 0        | 0         | 0        | 1        | 8         | 1               | 0                           |
| In-Year             | 2              | 2             | 0        | 0         | 0        | 0        | 2         | 0               | 0                           |
| In-Year ICS         | 2              | 1             | 0        | 0         | 0        | 0        | 1         | 1               | 0                           |
| <b>Total</b>        | <b>14</b>      | <b>12</b>     | <b>0</b> | <b>0</b>  | <b>0</b> | <b>1</b> | <b>11</b> | <b>2</b>        | <b>0</b>                    |
| <b>West</b>         |                |               |          |           |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0         | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 10             | 9             | 0        | 0         | 0        | 1        | 8         | 1               | 0                           |
| In-Year             | 8              | 4             | 0        | 0         | 0        | 0        | 4         | 1               | 3                           |
| In-Year ICS         | 3              | 2             | 0        | 0         | 0        | 0        | 2         | 0               | 1                           |
| <b>Total</b>        | <b>21</b>      | <b>15</b>     | <b>0</b> | <b>0</b>  | <b>0</b> | <b>1</b> | <b>14</b> | <b>2</b>        | <b>4</b>                    |

## Totals for Voluntary Aided Primary Schools ACADEMIC YEAR 2018/19

| OVERALL                    |        |
|----------------------------|--------|
| Total heard                | 66     |
| Total upheld               | 14     |
| Percentage of total upheld | 21.21% |

| <i>Where ICS legislation does not apply:</i> |    |
|----------------------------------------------|----|
| Reception heard                              | 10 |
| Reception upheld                             | 0  |
| Percentage of total upheld                   | 0% |

| <i>Where ICS legislation does apply</i> |       |
|-----------------------------------------|-------|
| Reception ICS                           | 29    |
| Reception ICS upheld                    | 2     |
| Percentage of total upheld              | 6.90% |

| <i>Where ICS legislation does not apply</i> |        |
|---------------------------------------------|--------|
| In year                                     | 16     |
| In year upheld                              | 3      |
| Percentage of total upheld                  | 18.75% |

| <i>Where ICS legislation does apply</i> |    |
|-----------------------------------------|----|
| In Year ICS                             | 11 |
| In Year ICS upheld                      | 0  |
| Percentage of total upheld              | 0% |

### 1.2 Foundation Schools – PRIMARY – ACADEMIC YEAR 2018/19

The statistics below show the Reception and In-Year appeals separately received for Foundation schools that have opted to use the central clerking service.

|               | Appeals lodged | Appeals Heard | Upheld 1 | Upheld 2 | Upheld A | Upheld B | Rejected | Offered a place | Appeal withdrawn by parents |
|---------------|----------------|---------------|----------|----------|----------|----------|----------|-----------------|-----------------------------|
| Reception     | 0              | 0             | 0        | 0        | 0        | 0        | 0        | 0               | 0                           |
| Reception ICS | 7              | 5             | 0        | 0        | 0        | 0        | 5        | 1               | 1                           |
| In-Year       | 7              | 6             | 0        | 4        | 0        | 0        | 2        | 0               | 1                           |
| In-Year ICS   | 2              | 1             | 0        | 0        | 0        | 0        | 1        | 0               | 1                           |
| <b>Total</b>  | <b>16</b>      | <b>12</b>     | <b>0</b> | <b>4</b> | <b>0</b> | <b>0</b> | <b>8</b> | <b>1</b>        | <b>3</b>                    |

## Totals for Foundation Schools – PRIMARY – ACADEMIC YEAR 2018/19

| <b>OVERALL</b>             |     |
|----------------------------|-----|
| Total heard                | 12  |
| Total upheld               | 4   |
| Percentage of total upheld | 33% |

| <i>Where ICS legislation does not apply:</i> |     |
|----------------------------------------------|-----|
| Reception heard                              | 0   |
| Reception upheld                             | 0   |
| Percentage of total upheld                   | n/a |

| <i>Where ICS legislation does apply</i> |    |
|-----------------------------------------|----|
| Reception ICS                           | 5  |
| Reception ICS upheld                    | 0  |
| Percentage of total upheld              | 0% |

| <i>Where ICS legislation does not apply</i> |     |
|---------------------------------------------|-----|
| In year                                     | 6   |
| In year upheld                              | 4   |
| Percentage of total upheld                  | 67% |

| <i>Where ICS legislation does apply</i> |    |
|-----------------------------------------|----|
| In Year ICS                             | 1  |
| In Year ICS upheld                      | 0  |
| Percentage of total upheld              | 0% |

## Trends (Voluntary Aided and Foundation Primary Schools combined)

### Percentage of upheld appeals overall

|                |              |
|----------------|--------------|
| <b>2013-14</b> | <b>10%</b>   |
| <b>2014-15</b> | <b>14%</b>   |
| <b>2015-16</b> | <b>25%</b>   |
| <b>2016-17</b> | <b>14.3%</b> |
| <b>2017-18</b> | <b>27%</b>   |
| <b>2018-19</b> | <b>27%</b>   |

**1.4 Academies and Free Schools that had opted to use the central clerking  
PRIMARY – ACADEMIC YEAR 2018/19**

| Appeals panel areas | Appeals lodged | Appeals Heard | Upheld 1 | Upheld 2 | Upheld A | Upheld B | Rejected  | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>North</b>        |                |               |          |          |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 3              | 1             | 0        | 0        | 0        | 0        | 1         | 1               | 1                           |
| In-Year             | 3              | 1             | 0        | 0        | 0        | 0        | 1         | 2               | 0                           |
| In-Year ICS         | 1              | 1             | 0        | 0        | 0        | 0        | 1         | 0               | 0                           |
| <b>Total</b>        | <b>7</b>       | <b>3</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>3</b>  | <b>3</b>        | <b>1</b>                    |
| <b>East</b>         |                |               |          |          |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 13             | 5             | 0        | 0        | 0        | 0        | 5         | 2               | 6                           |
| In Year             | 27             | 9             | 0        | 2        | 0        | 0        | 7         | 8               | 10                          |
| In-Year ICS         | 5              | 3             | 0        | 0        | 0        | 0        | 3         | 0               | 2                           |
| <b>Total</b>        | <b>45</b>      | <b>17</b>     | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>15</b> | <b>10</b>       | <b>18</b>                   |
| <b>South</b>        |                |               |          |          |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 16             | 7             | 0        | 0        | 0        | 0        | 7         | 4               | 5                           |
| In-Year             | 9              | 2             | 0        | 0        | 0        | 0        | 2         | 4               | 3                           |
| In-Year ICS         | 3              | 1             | 0        | 0        | 0        | 0        | 1         | 1               | 1                           |
| <b>Total</b>        | <b>28</b>      | <b>10</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>10</b> | <b>9</b>        | <b>9</b>                    |
| <b>West</b>         |                |               |          |          |          |          |           |                 |                             |
| Reception           | 0              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 0                           |
| Reception ICS       | 4              | 4             | 0        | 0        | 0        | 0        | 4         | 0               | 0                           |
| In-Year             | 2              | 1             | 0        | 0        | 0        | 0        | 1         | 1               | 0                           |
| In-Year ICS         | 5              | 3             | 0        | 0        | 0        | 0        | 3         | 1               | 1                           |
| <b>Total</b>        | <b>11</b>      | <b>8</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>8</b>  | <b>2</b>        | <b>1</b>                    |

**Totals for Academies and Free Schools that had opted to use the central clerking  
PRIMARY – ACADEMIC YEAR 2018/19**

| <b>OVERALL</b>             |       |
|----------------------------|-------|
| Total heard                | 38    |
| Total upheld               | 2     |
| Percentage of total upheld | 5.26% |

| <i>Where ICS legislation does not apply:</i> |     |
|----------------------------------------------|-----|
| Reception heard                              | 0   |
| Reception upheld                             | 0   |
| Percentage of total upheld                   | n/a |

| <i>Where ICS legislation does apply</i> |    |
|-----------------------------------------|----|
| Reception ICS                           | 17 |
| Reception ICS upheld                    | 0  |
| Percentage of total upheld              | 0% |

| <i>Where ICS legislation does not apply</i> |        |
|---------------------------------------------|--------|
| In year                                     | 13     |
| In year upheld                              | 2      |
| Percentage of total upheld                  | 15.38% |

| <i>Where ICS legislation does apply</i> |       |
|-----------------------------------------|-------|
| In Year ICS                             | 8     |
| In Year ICS upheld                      | 0     |
| Percentage of total upheld              | 0.00% |

**Total Outcomes for Primary Reception and In Year**

| <b>GRAND TOTALS FOR PRIMARIES AND IN YEAR</b> |              |               |                   |
|-----------------------------------------------|--------------|---------------|-------------------|
|                                               | <b>HEARD</b> | <b>UPHELD</b> | <b>PERCENTAGE</b> |
| <b>OVERALL</b>                                | 430          | 68            | 15.81%            |
| <b>RECEPTION APPEALS -ALL</b>                 | 164          | 4             | 2.44%             |
| <b>ICS APPEALS</b>                            | 154          | 4             | 2.60%             |
| <b>IN YEAR</b>                                | 266          | 64            | 24.06%            |
| <b>IN YEAR ICS ONLY</b>                       | 99           | 4             | 4.04%             |

- A total of 430 appeals were heard for Primary Reception and In Year combined.
- Of the total 164 Reception appeals heard across all school types during 2018/19, 4 were upheld representing a success rate of 2.44%.
- 154 of these appeals were Reception ICS and 4 were upheld representing a success rate of 2.6%
- Of the total 266 In-Year appeals heard across all school types during 2018/19, 64 were upheld representing a success rate of 24%
- 99 of these appeals were In Year ICS and 4 were upheld, representing a success rate of 4%.



# JUNIOR AND MIDDLE 2018/19

## 2. Junior and Middle Schools

The statistics below show the number of entry appeals and In Year appeals for Junior and Middle schools using the clerking service.

### 2.1 Entry to Junior and In-Year appeals for 2018/19

| Appeals panel areas   | Appeals lodged | Appeals Heard | Upheld 1 | Upheld 2 | Upheld A | Upheld B | Rejected  | Offered a place | Appeal withdrawn by parents |
|-----------------------|----------------|---------------|----------|----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>North</b>          |                |               |          |          |          |          |           |                 |                             |
| Entry to              | 2              | 2             | 0        | 1        | 0        | 0        | 1         | 0               | 0                           |
| In Year               | 16             | 10            | 0        | 2        | 0        | 0        | 9         | 3               | 3                           |
| <b>Total</b>          | <b>18</b>      | <b>12</b>     | <b>0</b> | <b>3</b> | <b>0</b> | <b>0</b> | <b>10</b> | <b>3</b>        | <b>3</b>                    |
| <b>East</b>           |                |               |          |          |          |          |           |                 |                             |
| Entry to              | 0              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 0                           |
| In Year               | 5              | 3             | 0        | 0        | 0        | 0        | 3         | 2               | 0                           |
| <b>Total</b>          | <b>5</b>       | <b>3</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>3</b>  | <b>2</b>        | <b>0</b>                    |
| <b>South</b>          |                |               |          |          |          |          |           |                 |                             |
| Entry to              | 3              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 3                           |
| In Year               | 34             | 11            | 0        | 2        | 0        | 0        | 8         | 9               | 12                          |
| <b>Total</b>          | <b>37</b>      | <b>11</b>     | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>8</b>  | <b>9</b>        | <b>15</b>                   |
| <b>West</b>           |                |               |          |          |          |          |           |                 |                             |
| Entry to              | 1              | 0             | 0        | 0        | 0        | 0        | 0         | 0               | 1                           |
| In Year               | 18             | 9             | 0        | 3        | 0        | 0        | 5         | 4               | 5                           |
| <b>Total</b>          | <b>19</b>      | <b>9</b>      | <b>0</b> | <b>3</b> | <b>0</b> | <b>0</b> | <b>5</b>  | <b>4</b>        | <b>6</b>                    |
| <b>OVERALL TOTALS</b> | <b>79</b>      | <b>35</b>     | <b>0</b> | <b>8</b> | <b>0</b> | <b>0</b> | <b>26</b> | <b>18</b>       | <b>24</b>                   |

- A total of 35 appeals were heard for Junior schools (5 down on the last report)
- 8 appeals were upheld, representing a success rate of 22.8% (down on the last report)

## 2.2 Entry to Middle and In-Year appeals for 2018/19

| Appeals panel areas | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|----------|----------|----------|----------|-----------------|-----------------------------|
| <b>North</b>        |                |               |          |          |          |          |          |                 |                             |
| Entry to            | 8              | 0             | 0        | 0        | 0        | 0        | 0        | 8               | 0                           |
| In Year             | 1              | 0             | 0        | 0        | 0        | 0        | 0        | 1               | 0                           |
| <b>Total</b>        | <b>9</b>       | <b>0</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>9</b>        | <b>0</b>                    |
| <b>East</b>         |                |               |          |          |          |          |          |                 |                             |
| Entry to            | 0              | 0             | 0        | 0        | 0        | 0        | 0        | 0               | 0                           |
| In Year             | 2              | 1             | 0        | 0        | 0        | 0        | 1        | 0               | 1                           |
| <b>Total</b>        | <b>2</b>       | <b>1</b>      | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>1</b> | <b>0</b>        | <b>1</b>                    |

Only one appeal was heard for a middle school, which was rejected. *NB There were no middle school appeals in the South or West.*

## SECONDARY 2018/19

### 3. In Year Secondary appeals for 2018/19

The number of In Year secondary appeals for the 2018-19 school year and outcomes are set out below. (In-Year appeals are for any age group and are outside the secondary transfer process). *NB Secondary transfer appeals for the 2018/19 school year were included in the 2017/18 report.*

#### 3.1 Community and Voluntary Controlled schools Secondary 2018/19

In recent years there has been a significant increase in the number of Community and Voluntary Controlled schools converting to Academies although this seems to have levelled off recently.

|              | Appeals lodged | Appeals Heard | 1        | 2         | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|--------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
|              |                |               |          |           |          |          |           |                 |                             |
| In Year      | 39             | 21            | 0        | 10        | 0        | 0        | 11        | 8               | 10                          |
| Transfer     | 113            | 84            | 0        | 16        | 0        | 0        | 68        | 12              | 17                          |
| <b>TOTAL</b> | <b>152</b>     | <b>105</b>    | <b>0</b> | <b>26</b> | <b>0</b> | <b>0</b> | <b>79</b> | <b>20</b>       | <b>27</b>                   |

| <b>GRAND TOTALS FOR<br/>COMMUNITY AND VC SECONDARY 2018/19</b> |       |        |            |
|----------------------------------------------------------------|-------|--------|------------|
|                                                                | HEARD | UPHELD | PERCENTAGE |
| OVERALL                                                        | 105   | 26     | 24.8%      |
| IN YEAR                                                        | 21    | 10     | 47.6%      |

### 3.2a Foundation Schools Secondary 2018/19

|              | Appeals lodged | Appeals Heard | 1        | 2         | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|--------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
| In Year      | 34             | 16            | 0        | 8         | 0        | 0        | 9         | 11              | 7                           |
| Transfer     | 38             | 22            | 0        | 7         | 0        | 0        | 15        | 9               | 7                           |
| <b>TOTAL</b> | <b>72</b>      | <b>38</b>     | <b>0</b> | <b>15</b> | <b>0</b> | <b>0</b> | <b>24</b> | <b>20</b>       | <b>14</b>                   |

### 3.2b Voluntary-Aided Schools Secondary 2018/19

|              | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected | Offered a place | Appeal withdrawn by parents |
|--------------|----------------|---------------|----------|----------|----------|----------|----------|-----------------|-----------------------------|
| In Year      | 6              | 1             | 0        | 1        | 0        | 0        | 0        | 3               | 2                           |
| Transfer     | 6              | 2             | 0        | 1        | 0        | 0        | 1        | 2               | 2                           |
| <b>TOTAL</b> | <b>12</b>      | <b>3</b>      | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>1</b> | <b>5</b>        | <b>4</b>                    |

| <b>GRAND TOTALS FOR<br/>VA AND FOUNDATION SECONDARY 2018/19</b> |       |        |            |
|-----------------------------------------------------------------|-------|--------|------------|
|                                                                 | HEARD | UPHELD | PERCENTAGE |
| OVERALL                                                         | 41    | 17     | 41.5%      |
| IN YEAR                                                         | 17    | 9      | 52.9%      |

### 3.3 In Year secondary appeals for Academies and Free Schools for 2018/19

| Appeals panel areas | Appeals lodged | Appeals Heard | 1        | 2         | A        | B        | Rejected   | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|-----------|----------|----------|------------|-----------------|-----------------------------|
| North               | 16             | 11            | 0        | 4         | 0        | 0        | 6          | 4               | 2                           |
| East                | 64             | 26            | 0        | 9         | 0        | 0        | 16         | 22              | 16                          |
| South               | 110            | 68            | 1        | 17        | 0        | 0        | 49         | 15              | 27                          |
| West                | 134            | 74            | 0        | 33        | 0        | 0        | 38         | 26              | 35                          |
| <b>Total</b>        | <b>324</b>     | <b>179</b>    | <b>2</b> | <b>65</b> | <b>0</b> | <b>0</b> | <b>109</b> | <b>67</b>       | <b>80</b>                   |

#### Trends

- A total of 218 Secondary In-Year appeals were heard
- 85 were upheld, representing a success rate of 40% (slightly down on the last available report)
- Of the 218 appeals, 179 appeals were heard for a place in an Academy or Free School.

## TRANSFER APPEALS 2019/20

### 4. Reception appeals for 2019/20 school year

#### 4.1 Community and Voluntary Controlled schools Primary Reception 2019/20

The following statistics are for Reception appeals only, Please note that In Year appeals will continue to be heard until the end of the 2019/20 school year. The statistics for those will therefore be shown in the 2019/20 annual report.

ICS relates to those subject to the 30 class size limit legislation.

| Appeals panel areas   | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected   | Offered a place | Appeal withdrawn by parents |
|-----------------------|----------------|---------------|----------|----------|----------|----------|------------|-----------------|-----------------------------|
| <b>North</b>          |                |               |          |          |          |          |            |                 |                             |
| Reception             | 8              | 3             | 2        | 0        | 0        | 0        | 1          | 3               | 2                           |
| Reception ICS         | 41             | 25            | 0        | 0        | 0        | 0        | 25         | 9               | 7                           |
| <b>Total</b>          | <b>49</b>      | <b>28</b>     | <b>2</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>26</b>  | <b>12</b>       | <b>9</b>                    |
| <b>East</b>           |                |               |          |          |          |          |            |                 |                             |
| Reception             | 2              | 0             | 0        | 0        | 0        | 0        | 0          | 2               | 0                           |
| Reception ICS         | 56             | 30            | 0        | 0        | 1        | 0        | 29         | 7               | 19                          |
| <b>Total</b>          | <b>58</b>      | <b>30</b>     | <b>0</b> | <b>0</b> | <b>1</b> | <b>0</b> | <b>29</b>  | <b>9</b>        | <b>19</b>                   |
| <b>South</b>          |                |               |          |          |          |          |            |                 |                             |
| Reception             | 0              | 0             | 0        | 0        | 0        | 0        | 0          | 0               | 0                           |
| Reception ICS         | 48             | 27            | 0        | 0        | 0        | 0        | 27         | 7               | 14                          |
| <b>Total</b>          | <b>48</b>      | <b>27</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>27</b>  | <b>7</b>        | <b>14</b>                   |
| <b>West</b>           |                |               |          |          |          |          |            |                 |                             |
| Reception             | 0              | 0             | 0        | 0        | 0        | 0        | 0          | 0               | 0                           |
| Reception ICS         | 46             | 22            | 0        | 0        | 0        | 0        | 22         | 8               | 16                          |
| <b>Total</b>          | <b>46</b>      | <b>22</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>22</b>  | <b>8</b>        | <b>16</b>                   |
| <b>OVERALL TOTALS</b> | <b>201</b>     | <b>107</b>    | <b>2</b> | <b>0</b> | <b>1</b> | <b>0</b> | <b>104</b> | <b>36</b>       | <b>58</b>                   |

| GRAND TOTALS FOR<br>COMMUNITY AND VC PRIMARY RECEPTION 2019/20 |       |        |            |
|----------------------------------------------------------------|-------|--------|------------|
|                                                                | HEARD | UPHELD | PERCENTAGE |
| RECEPTION APPEALS ALL                                          | 107   | 3      | 2.8%       |
| ICS APPEALS                                                    | 104   | 1      | 0.96%      |

## Trends for Community and VC Primary Reception

|                |             |
|----------------|-------------|
| <b>2014-15</b> | <b>8%</b>   |
| <b>2015-16</b> | <b>3%</b>   |
| <b>2016-17</b> | <b>2.4%</b> |
| <b>2017-18</b> | <b>7%</b>   |
| <b>2018-19</b> | <b>2%</b>   |
| <b>2019-20</b> | <b>2.8%</b> |

### 4.2 Voluntary Aided Schools Primary Reception 2019/20

The following statistics show the Reception appeals received for the Voluntary-Aided schools that opted to use the central clerking service.

#### 4.2 Voluntary Aided schools

|                     | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>ALL AREAS</b>    |                |               |          |          |          |          |           |                 |                             |
| Reception (non ICS) | 10             | 5             | 4        | 0        | 0        | 0        | 1         | 2               | 3                           |
| Reception ICS       | 29             | 15            | 0        | 0        | 0        | 1        | 13        | 4               | 11                          |
| <b>Total</b>        | <b>39</b>      | <b>20</b>     | <b>4</b> | <b>0</b> | <b>0</b> | <b>1</b> | <b>14</b> | <b>6</b>        | <b>14</b>                   |

| <b>GRAND TOTALS FOR VA PRIMARY RECEPTION 2019/2020</b> |       |        |            |
|--------------------------------------------------------|-------|--------|------------|
|                                                        | HEARD | UPHELD | PERCENTAGE |
| RECEPTION APPEALS                                      |       |        |            |
| ALL                                                    | 20    | 5      | 25%        |
| ICS APPEALS                                            | 15    | 1      | 7%         |

### Trends for VA Primary Reception

| Year    | Percentage upheld |
|---------|-------------------|
| 2014-15 | 6%                |
| 2015-16 | 2%                |
| 2016-17 | 4%                |
| 2017-18 | 40%               |
| 2018-19 | 29.4%             |
| 2019-20 | 25%               |

### 4.3 Foundation schools (all subject to ICS legislation) Primary Reception 2019/20

| Appeals panel areas | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|----------|----------|----------|----------|-----------------|-----------------------------|
| <b>North</b>        |                |               |          |          |          |          |          |                 |                             |
| Reception ICS       | 0              | 0             | 0        | 0        | 0        | 0        | 0        | 0               | 0                           |
| <b>East</b>         |                |               |          |          |          |          |          |                 |                             |
| Reception ICS       | 0              | 0             | 0        | 0        | 0        | 0        | 0        | 0               | 0                           |
| <b>South</b>        |                |               |          |          |          |          |          |                 |                             |
| Reception ICS       | 2              | 1             | 1        | 0        | 0        | 0        | 0        | 1               | 0                           |
| <b>West</b>         |                |               |          |          |          |          |          |                 |                             |
| Reception ICS       | 0              | 0             | 0        | 0        | 0        | 0        | 0        | 0               | 0                           |
| <b>Total</b>        | <b>2</b>       | <b>1</b>      | <b>1</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>1</b>        | <b>0</b>                    |

| GRAND TOTALS FOR FOUNDATION PRIMARY RECEPTION 2019/2020 |       |        |            |
|---------------------------------------------------------|-------|--------|------------|
|                                                         | HEARD | UPHELD | PERCENTAGE |
| RECEPTION APPEALS ALL                                   | 1     | 1      | 100%       |
| ICS APPEALS                                             | 1     | 1      | 100%       |

#### 4.4 Academies (all subject to ICS legislation) Primary Reception 2019/20

| Appeals panel areas | Appeals lodged | Appeals Heard | 1        | 2        | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|---------------------|----------------|---------------|----------|----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>North</b>        |                |               |          |          |          |          |           |                 |                             |
| Reception ICS       | 2              | 2             | 0        | 0        | 0        | 0        | 2         | 0               | 0                           |
| <b>East</b>         |                |               |          |          |          |          |           |                 |                             |
| Reception ICS       | 20             | 14            | 0        | 0        | 0        | 0        | 14        | 2               | 4                           |
| <b>South</b>        |                |               |          |          |          |          |           |                 |                             |
| Reception ICS       | 15             | 8             | 0        | 0        | 0        | 0        | 8         | 4               | 3                           |
| <b>West</b>         |                |               |          |          |          |          |           |                 |                             |
| Reception ICS       | 8              | 3             | 0        | 0        | 0        | 0        | 0         | 3               | 3                           |
| <b>Totals</b>       | <b>45</b>      | <b>27</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>24</b> | <b>9</b>        | <b>10</b>                   |

| GRAND TOTALS FOR ACADEMY PRIMARY RECEPTION 2019/2020 |       |        |            |
|------------------------------------------------------|-------|--------|------------|
|                                                      | HEARD | UPHELD | PERCENTAGE |
| RECEPTION APPEALS ALL                                | 27    | 0      | 0%         |
| ICS APPEALS                                          | 27    | 0      | 0%         |

#### Trends for Academies Primary Reception

| Year    | Percentage upheld |
|---------|-------------------|
| 2014-15 | 7%                |
| 2015-16 | 0%                |
| 2016-17 | 4%                |
| 2017-18 | 0%                |
| 2018-19 | 0%                |
| 2019-20 | 0%                |



## 5. Secondary transfer appeals for 2019-20 school year

### 5.1 Community and Voluntary Controlled schools: Secondary Transfer 19-20

| Appeals panel areas  | Appeals lodged | Appeals Heard | 1        | 2         | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|----------------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>North</b>         |                |               |          |           |          |          |           |                 |                             |
| Barnwell School      | 1              | 0             | 0        | 0         | 0        | 0        | 0         | 1               | 0                           |
| Marriotts School     | 19             | 13            | 0        | 4         | 0        | 0        | 9         | 0               | 6                           |
| The Nobel School     | 29             | 23            | 0        | 2         | 0        | 0        | 21        | 3               | 3                           |
| The Priory School    | 7              | 2             | 0        | 0         | 0        | 0        | 2         | 3               | 2                           |
| <b>Total</b>         | <b>56</b>      | <b>38</b>     | <b>0</b> | <b>6</b>  | <b>0</b> | <b>0</b> | <b>32</b> | <b>7</b>        | <b>11</b>                   |
| <b>East</b>          |                |               |          |           |          |          |           |                 |                             |
| The Ridgeway Academy | 1              | 0             | 0        | 0         | 0        | 0        | 0         | 1               | 0                           |
| <b>South</b>         |                |               |          |           |          |          |           |                 |                             |
| No C or VC schools   |                |               |          |           |          |          |           |                 |                             |
| <b>West</b>          |                |               |          |           |          |          |           |                 |                             |
| The Hemel Hempstead  | 30             | 24            | 0        | 5         | 0        | 0        | 19        | 4               | 2                           |
| <b>OVERALL TOTAL</b> | <b>87</b>      | <b>62</b>     | <b>0</b> | <b>11</b> | <b>0</b> | <b>0</b> | <b>51</b> | <b>12</b>       | <b>13</b>                   |

Of a total of 62 appeals heard, 11 were upheld representing a success rate of 17.7%  
 In 2018-19, 84 appeals were heard and 19% upheld.

## 5.2 Foundation schools: Secondary Transfer 19/20

| Appeals panel areas         | Appeals lodged | Appeals Heard | 1        | 2         | A        | B        | Rejected  | Offered a place | Appeal withdrawn by parents |
|-----------------------------|----------------|---------------|----------|-----------|----------|----------|-----------|-----------------|-----------------------------|
| <b>North</b>                |                |               |          |           |          |          |           |                 |                             |
| The Highfield School        | 8              | 7             | 0        | 0         | 0        | 0        | 7         | 0               | 1                           |
| <b>East</b>                 |                |               |          |           |          |          |           |                 |                             |
| The Bishop's Stortford High | 12             | 8             | 0        | 2         | 0        | 0        | 6         | 1               | 3                           |
| <b>South</b>                |                |               |          |           |          |          |           |                 |                             |
| Chancellor's School         | 29             | 24            | 0        | 5         | 0        | 0        | 19        | 3               | 2                           |
| <b>West</b>                 |                |               |          |           |          |          |           |                 |                             |
| Ashlyns School              | 23             | 13            | 0        | 5         | 0        | 0        | 8         | 4               | 6                           |
| <b>OVERALL TOTAL</b>        | <b>72</b>      | <b>52</b>     | <b>0</b> | <b>12</b> | <b>0</b> | <b>0</b> | <b>40</b> | <b>8</b>        | <b>12</b>                   |

- Of the 52 appeals heard, 12 were upheld representing a 23% success rate.
- In 2018/19, 22 appeals were heard and 31.8% upheld

## 5.3 Voluntary-Aided schools: Secondary Transfer 2019/20

| Appeals panel areas       | Appeals lodged | Appeals Heard | 1 | 2 | A | B | Rejected | Offered a place | Appeal withdrawn by parents |
|---------------------------|----------------|---------------|---|---|---|---|----------|-----------------|-----------------------------|
|                           |                |               |   |   |   |   |          |                 |                             |
| St Mary's Catholic School | 11             | 4             | 0 | 2 | 0 | 0 | 2        | 3               | 4                           |

(No other VA secondary schools had secondary transfer appeals)

- Of the four appeals heard, two were upheld representing a 50% success rate.
- In 2018/19, two appeals were heard with a 50% success rate.

## 5.4 Academies and Free Schools: Secondary Transfer 2019/20

| School                     | Appeals lodged | Appeals Heard | 1 | 2 | A | B | Rejected | Offered a place | Appeal withdrawn by parents |
|----------------------------|----------------|---------------|---|---|---|---|----------|-----------------|-----------------------------|
| Beaumont School            | 28             | 21            | 0 | 7 | 0 | 0 | 14       | 1               | 6                           |
| Bishop's Hatfield Girls'   | 31             | 25            | 0 | 4 | 0 | 0 | 21       | 4               | 2                           |
| Bushey Meads School        | 21             | 18            | 0 | 6 | 0 | 0 | 12       | 0               | 3                           |
| Dame Alice Owen's School   | 14             | 11            | 0 | 1 | 0 | 0 | 10       | 2               | 1                           |
| Francis Combe Academy      | 21             | 16            | 0 | 5 | 0 | 0 | 11       | 0               | 5                           |
| Goffs Churchgate           | 9              | 6             | 0 | 1 | 0 | 0 | 5        | 0               | 3                           |
| Goffs School               | 23             | 18            | 0 | 2 | 0 | 0 | 16       | 0               | 5                           |
| Hitchin Boys' School       | 5              | 4             | 0 | 4 | 0 | 0 | 0        | 1               | 0                           |
| Hitchin Girls' School      | 14             | 9             | 0 | 3 | 0 | 0 | 6        | 4               | 1                           |
| John Henry Newman RC       | 6              | 6             | 0 | 0 | 0 | 0 | 6        | 0               | 0                           |
| Kings Langley School       | 24             | 19            | 0 | 6 | 0 | 0 | 13       | 4               | 1                           |
| Longdean School            | 26             | 17            | 0 | 4 | 0 | 0 | 13       | 2               | 7                           |
| Loreto College             | 2              | 1             | 0 | 1 | 0 | 0 | 0        | 1               | 0                           |
| Marlborough School Science | 20             | 11            | 0 | 2 | 0 | 0 | 9        | 3               | 6                           |
| Monks Walk School          | 6              | 3             | 0 | 0 | 0 | 0 | 3        | 3               | 0                           |
| Nicholas Breakspear        | 9              | 3             | 0 | 3 | 0 | 0 | 0        | 4               | 2                           |
| Parmiter's School          | 36             | 31            | 0 | 3 | 0 | 0 | 28       | 0               | 5                           |
| Presdales School           | 17             | 9             | 0 | 1 | 0 | 0 | 8        | 2               | 6                           |
| Queens' School             | 45             | 40            | 0 | 4 | 0 | 0 | 36       | 3               | 2                           |
| Richard Hale School        | 6              | 2             | 0 | 0 | 0 | 0 | 2        | 3               | 1                           |
| Rickmansworth School       | 9              | 5             | 0 | 0 | 0 | 0 | 5        | 0               | 4                           |
| Roundwood Park School      | 29             | 19            | 0 | 9 | 0 | 0 | 10       | 2               | 8                           |
| Sandringham School         | 15             | 9             | 0 | 3 | 0 | 0 | 6        | 4               | 2                           |
| Sir John Lawes School      | 18             | 8             | 0 | 1 | 0 | 0 | 7        | 5               | 5                           |

Continued overleaf...

| School                            | Appeals lodged | Appeals Heard | 1        | 2          | A        | B        | Rejected   | Offered a place | Appeal withdraw |
|-----------------------------------|----------------|---------------|----------|------------|----------|----------|------------|-----------------|-----------------|
| St Albans Girls' School           | 11             | 5             | 0        | 0          | 0        | 0        | 5          | 3               | 3               |
| St Clement Danes School           | 10             | 6             | 0        | 0          | 0        | 0        | 6          | 2               | 2               |
| St George's School                | 22             | 19            | 0        | 5          | 0        | 0        | 14         | 0               | 3               |
| St Michael's Catholic High School | 15             | 13            | 0        | 1          | 0        | 0        | 12         | 1               | 1               |
| The Broxbourne School             | 15             | 14            | 0        | 2          | 0        | 0        | 12         | 1               | 0               |
| The Chauncy School                | 21             | 12            | 0        | 9          | 0        | 0        | 3          | 5               | 4               |
| The Hertfordshire and Essex High  | 9              | 7             | 0        | 2          | 0        | 0        | 5          | 0               | 2               |
| The John Warner School            | 13             | 8             | 0        | 0          | 0        | 0        | 8          | 1               | 4               |
| The Knights Templar School        | 14             | 9             | 0        | 3          | 0        | 0        | 6          | 3               | 2               |
| The Leventhorpe School            | 10             | 7             | 0        | 3          | 0        | 0        | 4          | 1               | 2               |
| The Sele School                   | 2              | 0             | 0        | 0          | 0        | 0        | 0          | 2               | 0               |
| The Thomas Alleyne                | 7              | 4             | 0        | 1          | 0        | 0        | 3          | 0               | 3               |
| Tring School                      | 7              | 3             | 0        | 2          | 0        | 0        | 1          | 4               | 0               |
| Watford Grammar                   | 25             | 24            | 0        | 2          | 0        | 0        | 22         | 1               | 0               |
| Watford Grammar School for Girls  | 18             | 13            | 0        | 0          | 0        | 0        | 13         | 2               | 3               |
| Yavneh College                    | 8              | 0             | 0        | 0          | 0        | 0        | 0          | 0               | 6               |
| <b>TOTALS</b>                     | <b>641</b>     | <b>455</b>    | <b>0</b> | <b>100</b> | <b>0</b> | <b>0</b> | <b>355</b> | <b>74</b>       | <b>110</b>      |

- Of the 455 appeals for a Secondary Transfer for an Academy or Free School, 100 were upheld, representing 22% success rate.
- In 2018/19, of the 367 appeals for a Secondary Transfer for an Academy or Free School, 81 were upheld, representing a 22% success rate

### **Trends – All school types**

| Secondary transfer 19/20 | Percentage upheld |
|--------------------------|-------------------|
| Community and VC         | 17.7%             |
| VA                       | 50%               |
| Foundation               | 23%               |
| Academies                | 22%               |

## Overall percentages

| Year    | Percentage upheld |
|---------|-------------------|
| 2014-15 | 18%               |
| 2015-16 | 19%               |
| 2016-17 | 25%               |
| 2017-18 | 17.6%             |
| 2018-19 | 22.5%             |
| 2019-20 | 21.7%             |

### Outcomes:

#### Upheld 1: 0%

The case was NOT proved that to admit more children would “prejudice efficient education or the efficient use of resources” at the school

#### Upheld 2: 21.9

The circumstances of the parental case were so exceptional as to outweigh the “prejudice” to the school

### Admission Appeals for school year 2018/19

Overall volume of school admission appeals heard for school year 2018/19

| School year Total | Hearings held | Decrease/increase over previous year |
|-------------------|---------------|--------------------------------------|
| 2018/2019         | 1196          | +3.2%                                |
| 2017/2018         | 1159          | -6.4%                                |
| 2016/2017         | 1238          | -9%                                  |
| 2015/2016         | 1365          | -4.5%                                |

(These figures include the secondary transfer and primary reception appeals for the respective school years).

The actual difference in number overall was an increase of 17 appeals.

### Admission appeals for school year 2019/20 - Primary Reception and Secondary Transfer.

Secondary transfer appeals administered by Customer Service for the 2019/20 school year, heard in the summer term 2019, were 26.4% up on those for secondary transfer 2018/19. The actual difference was an increase of 129 appeals.

Primary Reception appeals administered by Customer Service for the 2019/20 school year, heard in the summer term 2019, were 6.2% down on those for primary reception 2018/19. The actual difference was a decrease of 10 appeals.

## **6. Ethnic monitoring data for admission appeals in 2018/19 school year**

The statistics for admission appeals for all schools by ethnicity are as below, which include only those parents who completed the monitoring form. The table below shows the percentage of appeals heard upheld in the parents' favour.

| <b>Ethnic group</b>           | <b>Number of appeals that went to hearing</b> | <b>Percentage upheld</b> |
|-------------------------------|-----------------------------------------------|--------------------------|
| <b>White</b>                  |                                               |                          |
| Any other White background    | 36                                            | 16.7%                    |
| British                       | 235                                           | 29.8%                    |
| Gypsy/Roma                    | 4                                             | 75.0%                    |
| Irish                         | 3                                             | 0%                       |
| Italian                       | 1                                             | 0%                       |
| Traveller from Irish Heritage | 14                                            | 64.3%                    |
| Turkish                       | 0                                             | n/a                      |
| <b>Asian or Asian British</b> |                                               |                          |
| Bangladeshi                   | 5                                             | 20.0%                    |
| Indian                        | 39                                            | 5.1%                     |
| Pakistani                     | 18                                            | 0.0%                     |
| Any other Asian               | 20                                            | 10.0%                    |
| <b>Black or Black British</b> |                                               |                          |
| African                       | 33                                            | 21.2%                    |
| Caribbean                     | 12                                            | 25.0%                    |
| Any other Black               | 1                                             | 0.0%                     |
| <b>Chinese</b>                | 0                                             | n/a                      |
| <b>Mixed</b>                  |                                               |                          |
| Any other Mixed background    | 8                                             | 12.5%                    |
| White and Asian               | 14                                            | 28.6%                    |
| White and Black African       | 9                                             | 11.1%                    |
| White and Black Caribbean     | 17                                            | 17.6%                    |
| <b>Any other Ethnic Group</b> | 10                                            | 20.0%                    |

## 7. HOME TO SCHOOL TRANSPORT APPEALS

### Appeals for free home to school transport – all schools and academies

The home to school travel and transport statutory guidance, issued by the Department for Education in July 2014, introduced a structured two stage review process allowing parents to challenge decisions taken by the local authority in relation to home to school transport. Stage One ensures a review of the parent's application by a panel of senior officers and Stage Two allows the parent to request a review by an independent appeal panel. The figures below show the number of parents that progressed their application to an independent panel and the outcomes.

| Academic Year | Appeals Lodged | Appeals Heard | Upheld in favour of parents | Rejected | Offered free transport prior to hearing | Parental request withdrawn |
|---------------|----------------|---------------|-----------------------------|----------|-----------------------------------------|----------------------------|
| 2015/16       | 46             | 38            | 9                           | 29       | 3                                       | 5                          |
| 2016/17       | 37             | 20            | 11                          | 16       | 4                                       | 7                          |
| 2017/18       | 54             | 46            | 27                          | 20       | 3                                       | 4                          |
| 2018/19       | 34             | 31            | 16                          | 15       | 1                                       | 1                          |

## 8. SCHOOL EXCLUSION REVIEWS – All School types

Following a review there are three options open to the panel: to uphold the decision to exclude; recommend that the governing body reconsiders its decision, or quash the decision and direct that the governing body considers the exclusion again. The final option imposes a £4,000 fine if the governing body does not offer to reinstate the pupil within 10 days of being notified of the review panel's decision.

| Academic Year | Application for Review lodged | Appeals Heard | Upheld the exclusion | Quash and direct reconsideration | Recommend reconsideration | Parental request withdrawn |
|---------------|-------------------------------|---------------|----------------------|----------------------------------|---------------------------|----------------------------|
| 2015/16       | 7                             | 6             | 1                    | 1                                | 4                         | 1                          |
| 2016/17       | 11                            | 10            | 6                    | 1                                | 3                         | 1                          |
| 2017/18       | 20                            | 20            | 9                    | 4                                | 7                         | 0                          |
| 2018/19       | 20                            | 19            | 6                    | 5                                | 8                         | 1                          |

In 2018/2019 there were 20 applications for review lodged by parents against the permanent exclusion of their child from a Hertfordshire school/academy. One application was subsequently withdrawn so only 19 reviews were considered by an independent panel. In six cases the panel decided to uphold the decision to exclude, in five cases, the panel concluded that the decision to exclude the pupil was so flawed that they quashed the decision and directed that the governing body consider the exclusion again. In the remaining eight cases, the panel found there to be procedural improprieties which had had a significant impact on the quality of the decision making process. Therefore, the panel recommended in each of these cases that the governing body reconsider their decision.

### October 2019